

# *Transition Metal Catalyzed Olefin Isomerization of Allylic Systems*

Evans Group Seminar

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## *Outline:*

### *Introduction*

- Mechanism
- Simple allyl alcohol/ether isomerizations

### *Asymmetric Catalysis*

- Development of an asymmetric catalyst for allyl amines
- A new mechanism and model for stereinduction
- Asymmetric allyl alcohol isomerization

### *Generation of Enolate Equivalents*

- Stereoselective isomerizations
- Tandem reactions

### *Rearrangements*

- Hg & Pd catalyzed allylic rearrangements
- Oxo metal catalyzed rearrangements and tandem reactions

### *Synthetic Applications*

## *Leading References:*

### *General*

Davies, S.G. *Organotransition Metal Chemistry. Applications to Organic Synthesis*; Pergamon Press: Oxford, 1982; pp. 266-290.

### *Enantioselective Allyl Amine Isomerization*

Otsuka, S., Tani, K. *Synthesis*, **1991**, 665.

Noyori, R., Takaya, H. *JACS*, **1990**, 4897.

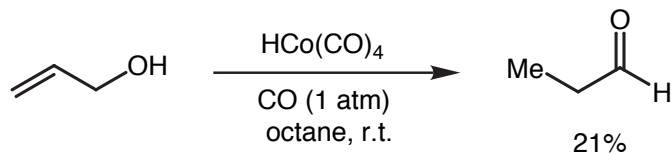
### *Allylic Rearrangement*

Overman, L.E. *ACIEE*, **1984**, 579.

Overman, L.E., Hollis, T.K. *J. Organomet. Chem.*, **1999**, 290.

# Allylic Alcohols: Early Discoveries

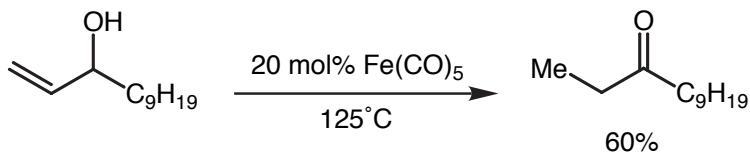
*Metal Hydrides* (Goetz, R., Orchin, M. *JACS*, **1963**, 1549.)



Observed during hydroformylation

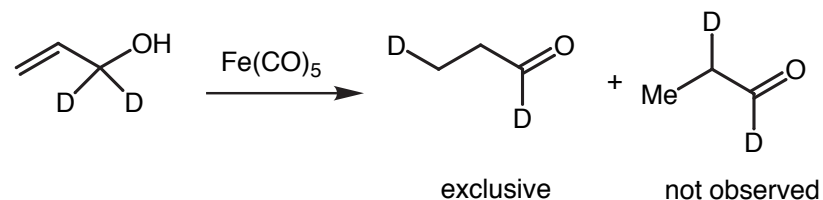
Substrate	Yield (%)
	3.7
	3

*Metal Carbonyls* (Damico, R., Logan, T.J. *JOC*, **1967**, 2356.)

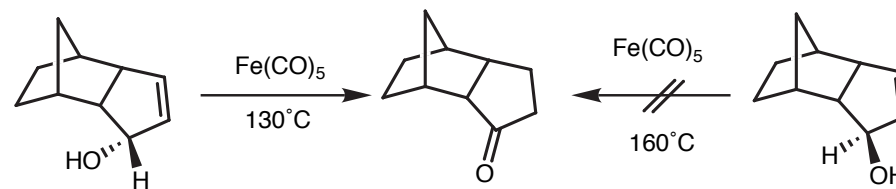


Substrate	Yield
	75
	80
	20

*Deuterium Labelling Studies*

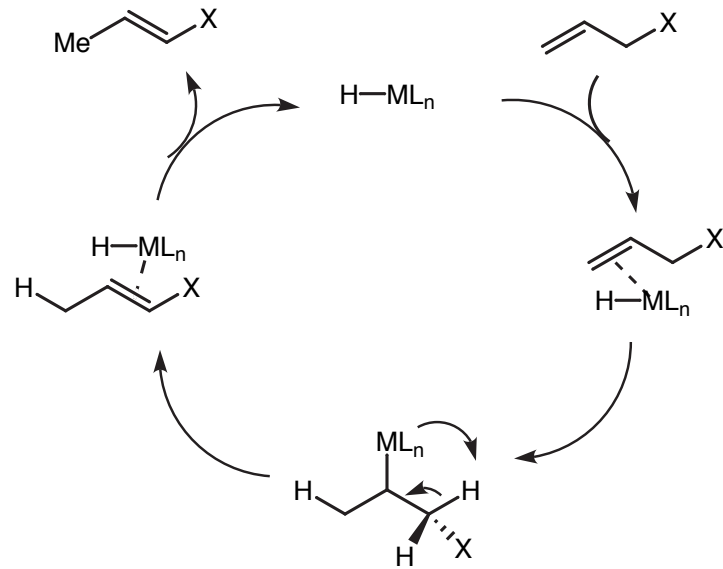


*Supra-facial 1,3 H Migration*

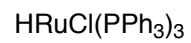
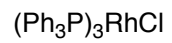
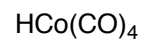


# General Mechanism

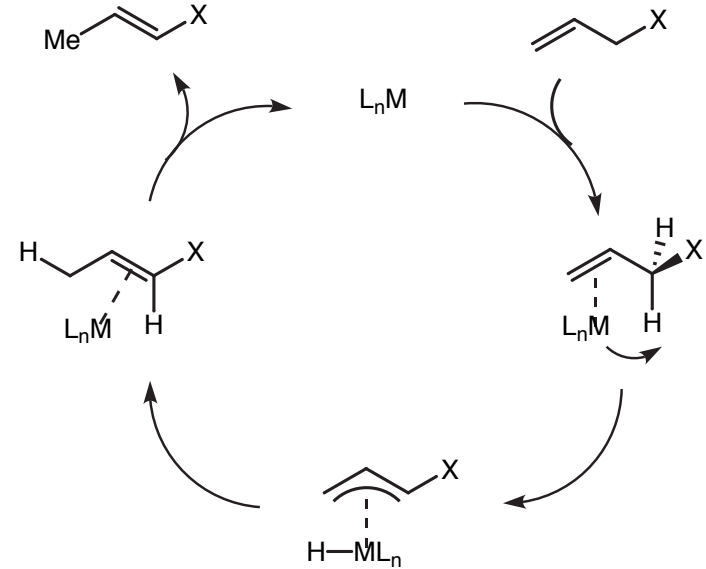
## Hydride Addition-Elimination



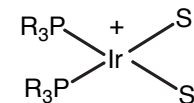
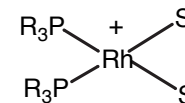
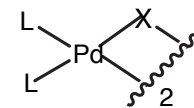
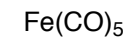
### Common Catalysts



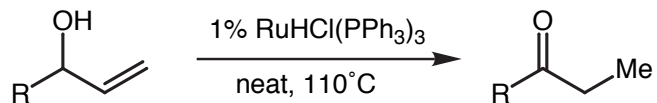
## $\pi$ -Allyl Metal & 1,3 H Shift

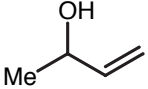
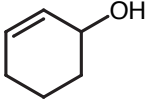
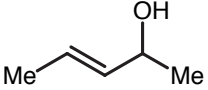
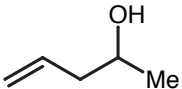
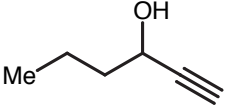



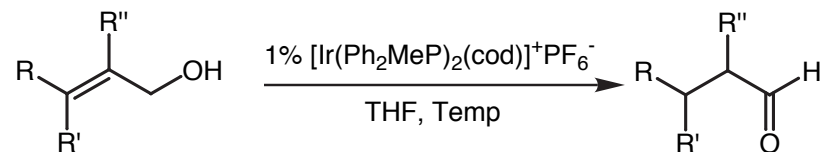
### Common Catalysts


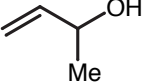
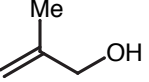
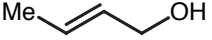
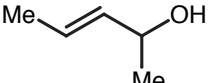
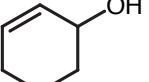
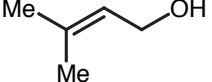
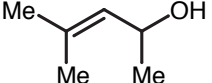


## Early Ru and Ir catalysts



Alcohol	Yield (%)
	91
	81
	90
	79
	0
	0



Alcohol	Temp (°C)	Conversion (%)
	20	100
	20	100
	20	100
	65	99
	65	98
	65	9
	65	12
	65	0

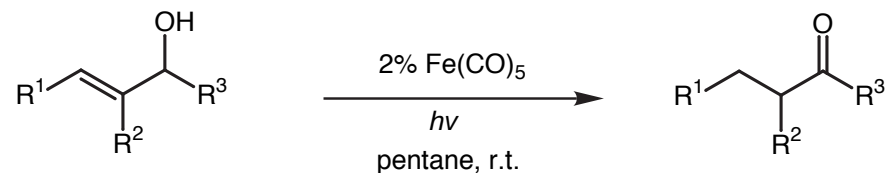
### Limitations:

- Air sensitive catalyst (immediate preparation and cannot be recycled)
- Not selective for allylic alcohols; other olefins also isomerize

Sasson, Y., Rempel, G.L. *TL*, **1974**, 4133.

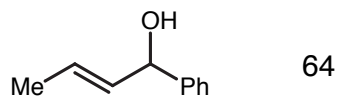
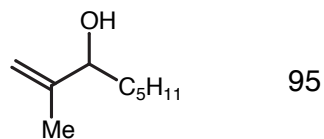
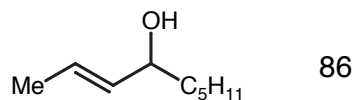
Baudry, D., Ephritikhine, M., Felkin, H. *Nouv. J. Chim.*, **1978**, 355.

# *Fe(CO)<sub>5</sub> Revisited: Light Activation*



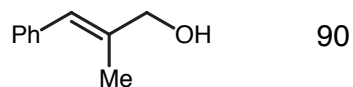
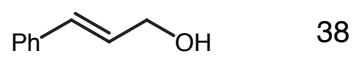
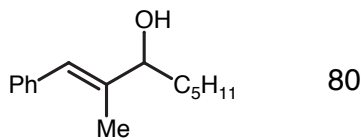
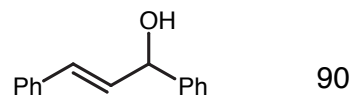
## *Hindered Aliphatic*

<i>Substrate</i>	<i>Yield (%)</i>
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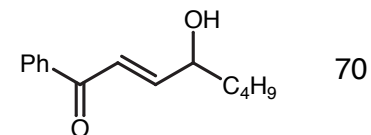
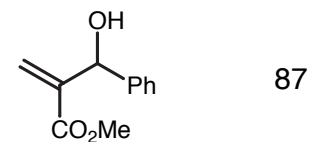
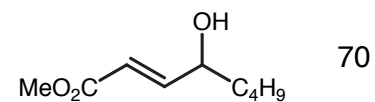
## *Cinnamyl derivatives*

<i>Substrate</i>	<i>Yield (%)</i>
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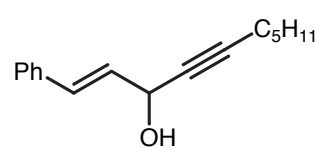
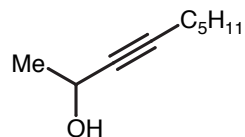
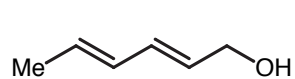


## *Carbonyls*

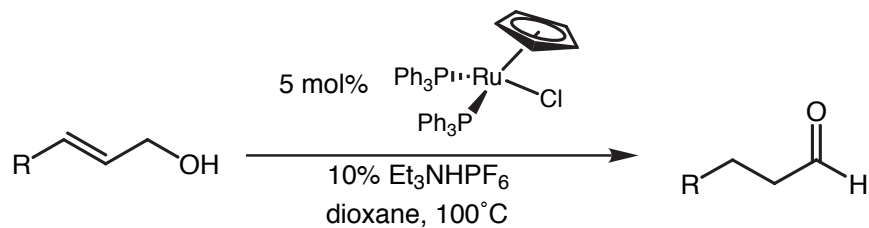
<i>Substrate</i>	<i>Yield (%)</i>
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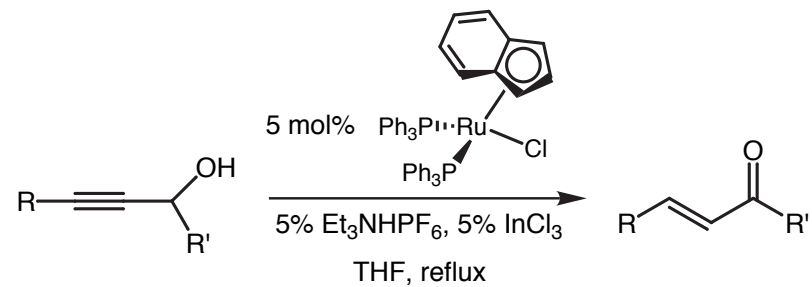
## *Poor Substrates (no reaction)*



## A Milder Catalyst: Ruthenium



Substrates	Yield (%)
	90
	68
	87
	90
	93
	0
	31 (47% w/ Indenyl)
	84

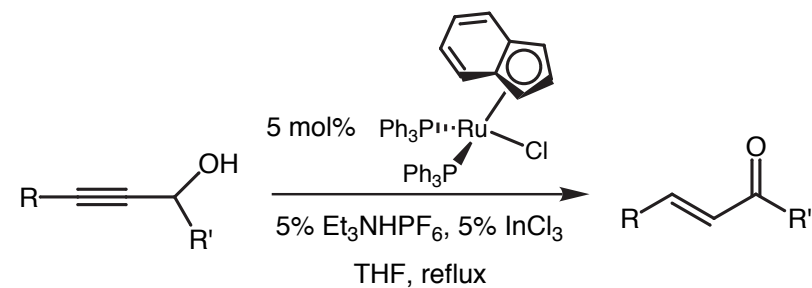
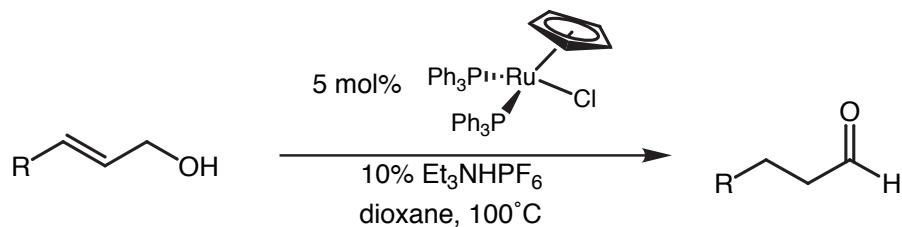


Substrates	Yield (%)
	88
	80
	86
	87
	83
	86
R = C <sub>10</sub> H <sub>21</sub>	
	83
R = C <sub>4</sub> H <sub>9</sub>	

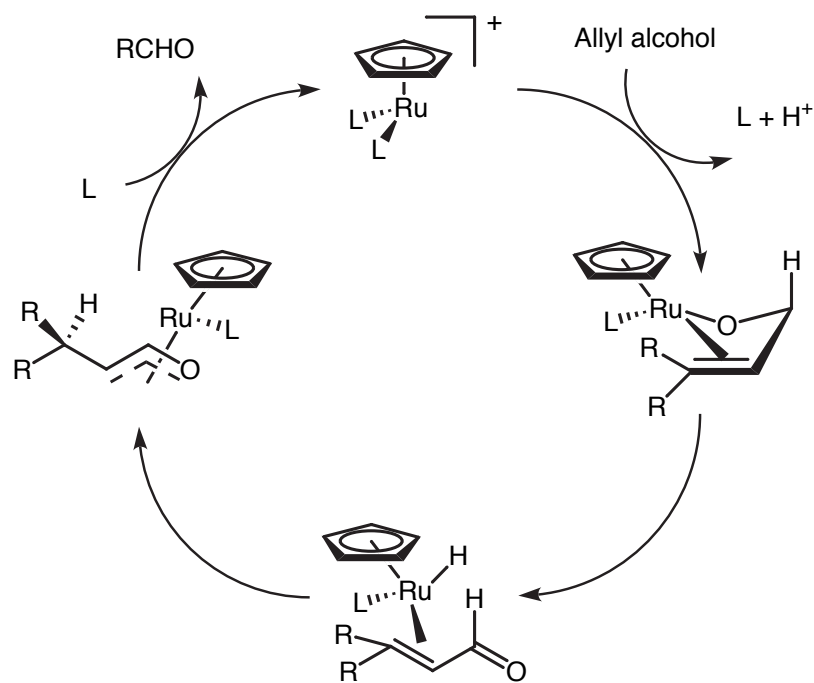
Only free allylic & propargylic alcohols isomerize!

Trost, B.M. JACS, **1993**, 207 & **1995**, 9586

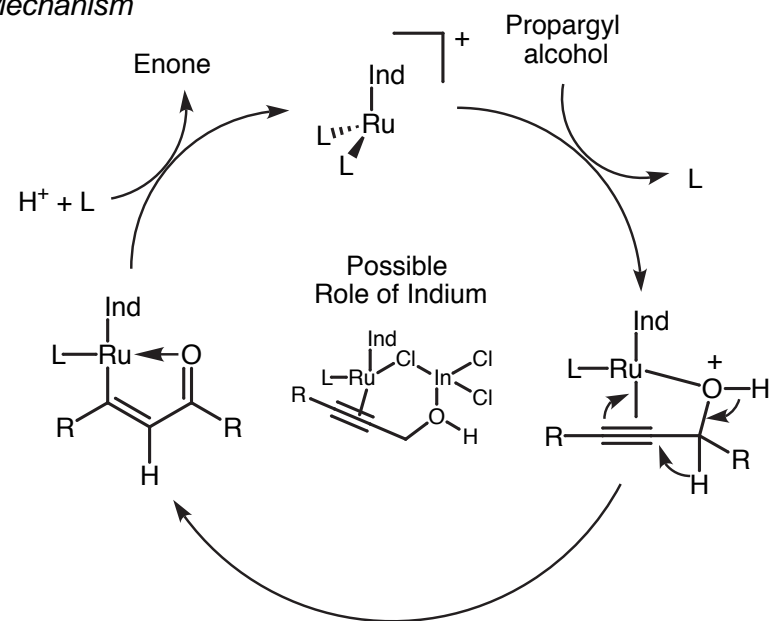
# Ru Catalyzed Isomerization: Proposed Mechanism



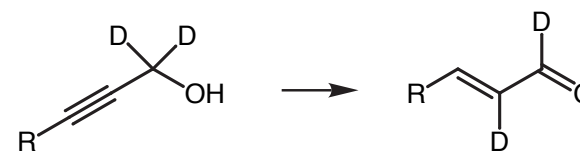
## Mechanism



## Mechanism



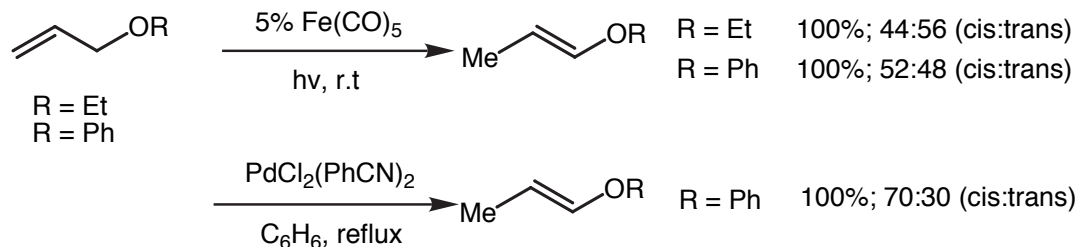
## Evidence



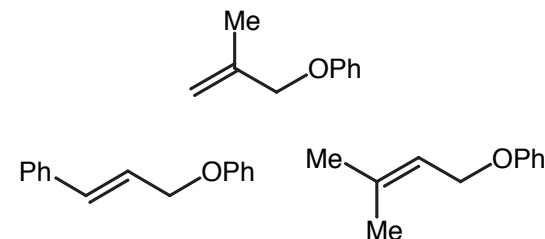
- Beta elimination requires opening of a coordination site (olefin, L, or Cp)
- Trost states that loss of olefin, oxygen, or  $PPh_3$  is unlikely - hence, Cp slippage is required.

# Allylic Ethers: E vs Z isomers

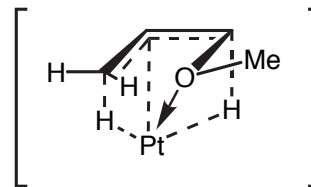
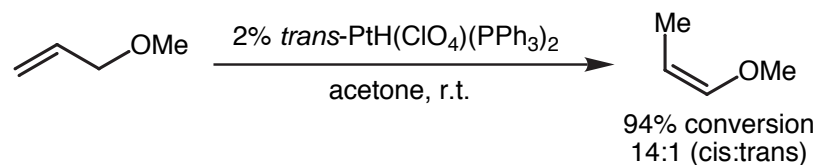
## Early Examples



## Poor substrates (no reaction)

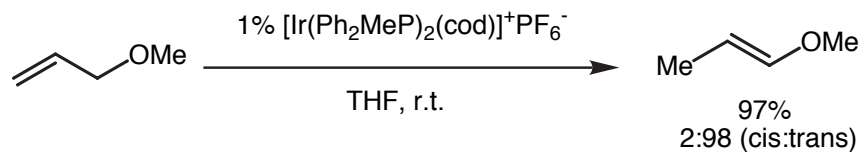


## Stereospecific Cis Isomers

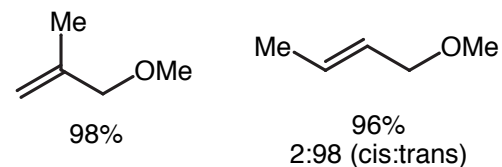


High cis selectivity due to possible platinum oxygen chelate

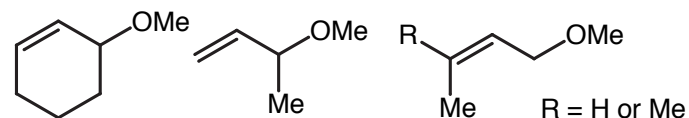
## Stereospecific Trans Isomers



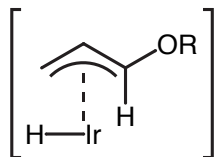
## Good Substrates



## Poor substrates (no reaction)



## Model for High Trans Selectivity

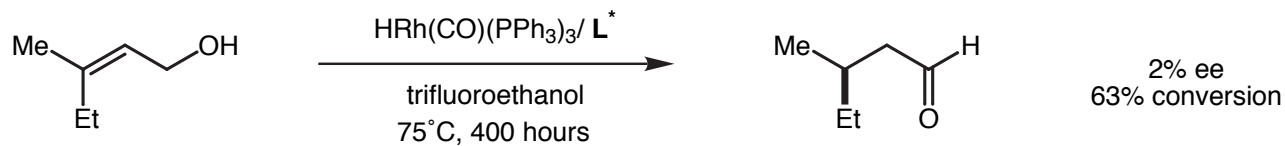
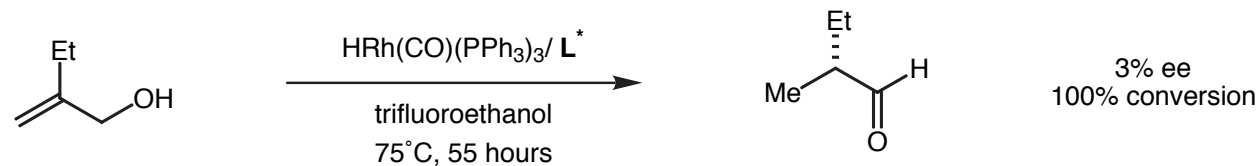
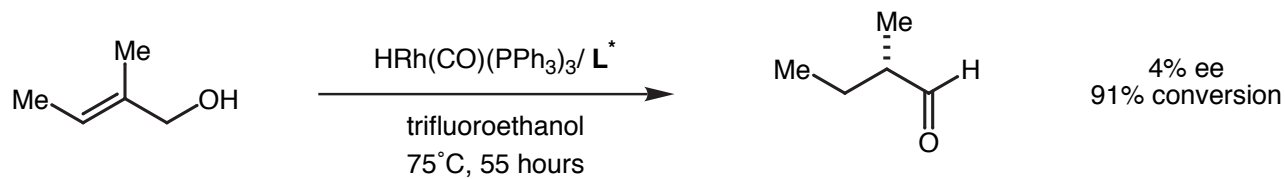
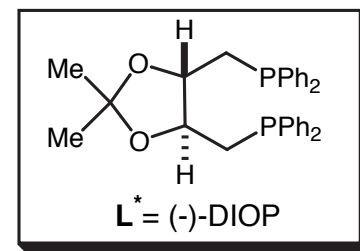


Clark, H.C. *Inorg. Chem.*, **1973**, 1566.

Baudry, D., Ephritikhine, M. *J.C.S. Chem. Comm.*, **1978**, 694.



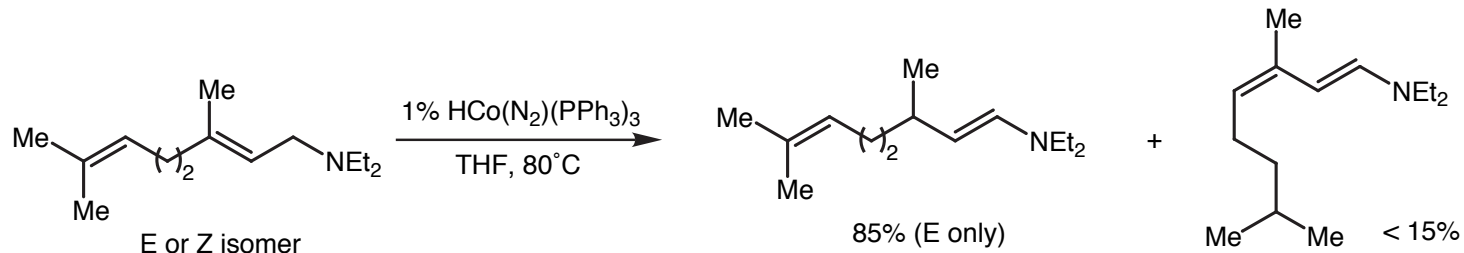
# "Asymmetric" Isomerization: Earliest Report



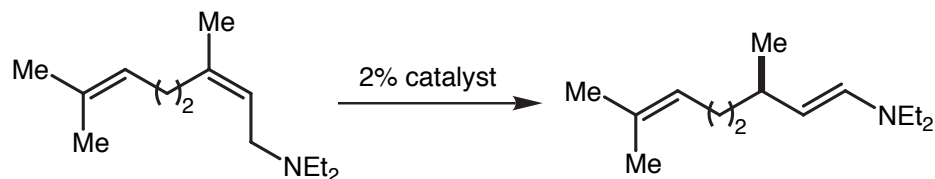
Enantiomeric excess determined by optical rotation.

# Isomerization of Allyl Amines: Early Studies (Otsuka)

## Early Discoveries

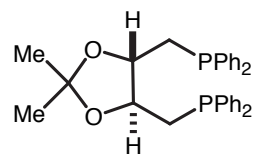
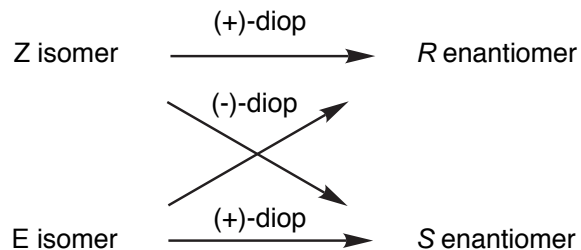


## Asymmetric Version

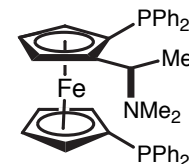


Catalyst mixture	Yield (%)	ee (%)
Co(acac) <sub>2</sub> , (-)-Ph <sub>2</sub> P-menthyl, DIBAL-H	16	7
Co(acac) <sub>2</sub> , (+)-BINAP, DIBAL-H	15	20
Co(acac) <sub>2</sub> , (+)-DIOP, DIBAL-H	39	32
Co(acac) <sub>2</sub> , (S,R)-BPPFA, DIBAL-H	10	10

## Correlation



DIOP



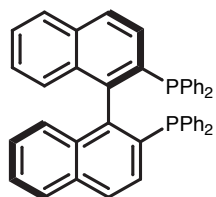
BPPFA

Allyl alcohols failed to isomerize.

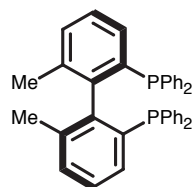
# Development of an Asymmetric Catalyst

Metal choice: Rhodium (more stable, tetracoordinated complex)

## Good Ligands

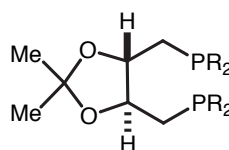


BINAP

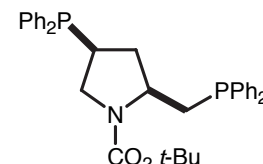


BIPHEMP

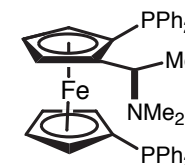
## Poor Ligands



DIOP  
(low ee%)  
due to flexible conformation

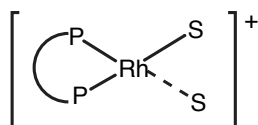


BPPM  
(low ee%)



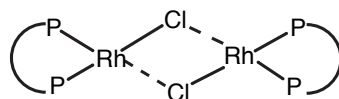
BPPFA  
(no rxn)

## Metal complex



cationic

vs.

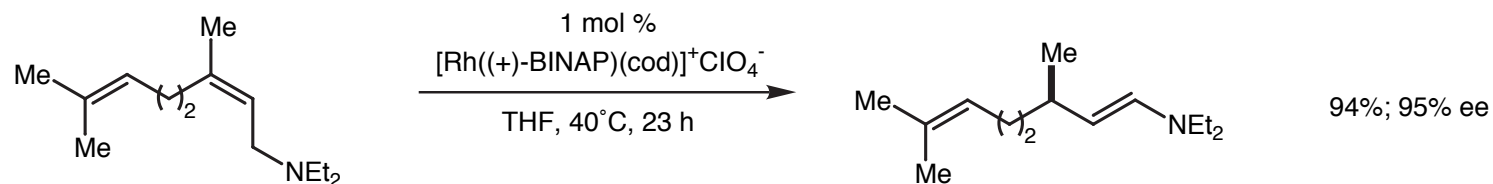
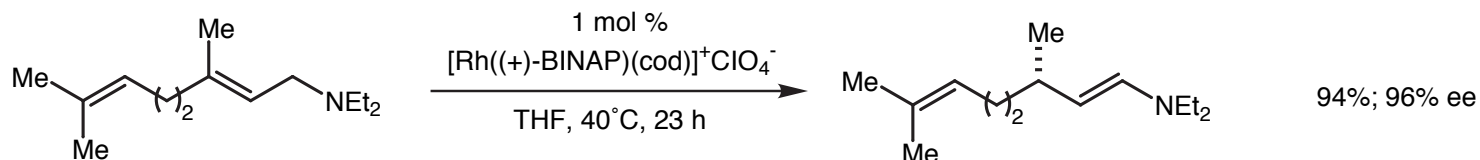


neutral

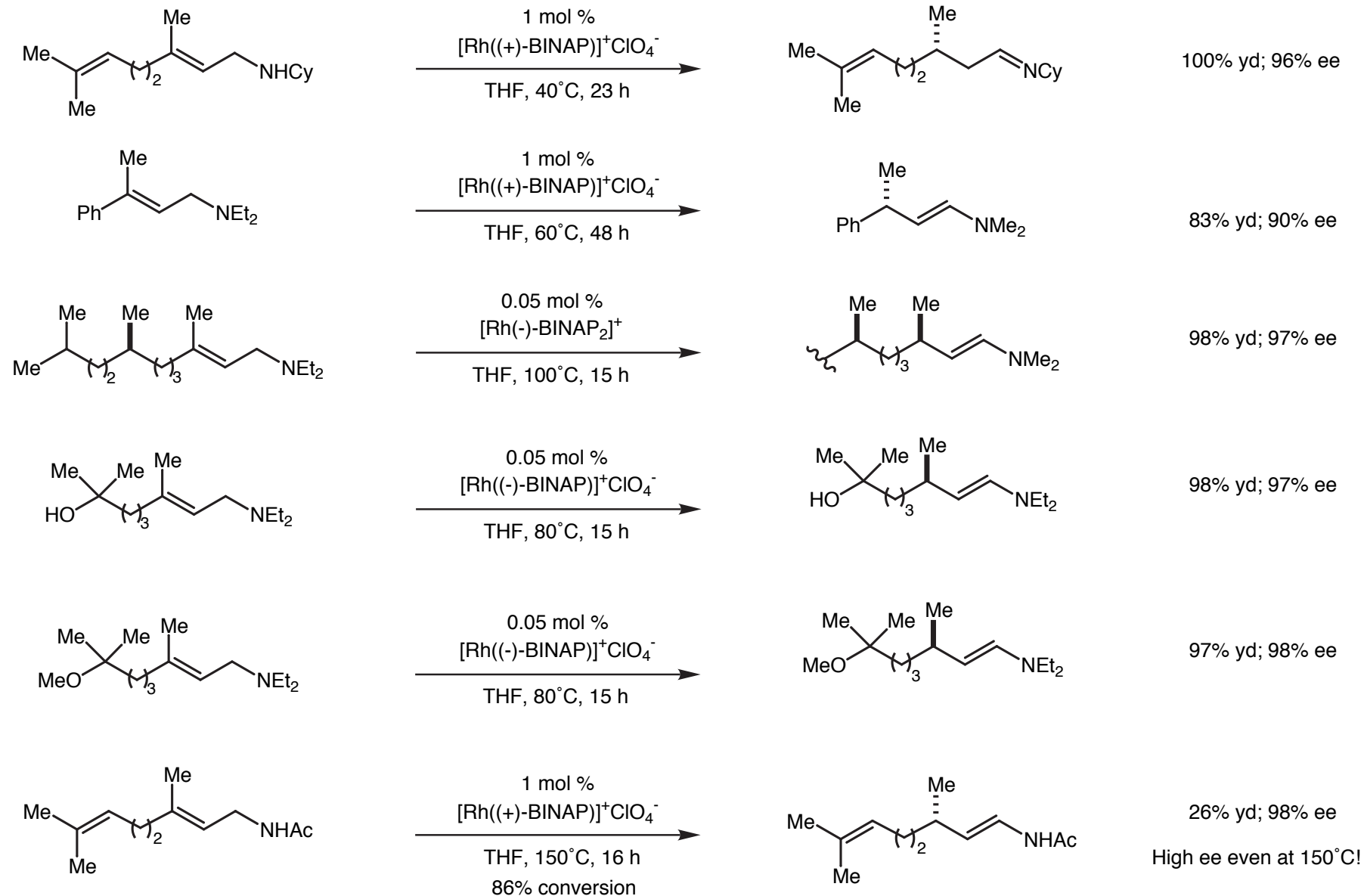
## Additives:

Donor ligands such as amines, COD, and diphosphines act as inhibitors.

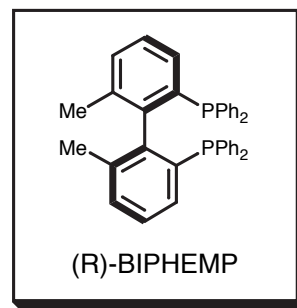
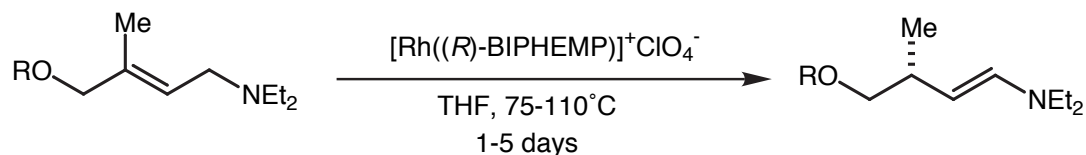
## Most Efficient Catalyst System



## Substrate Scope (Otsuka, Tani)

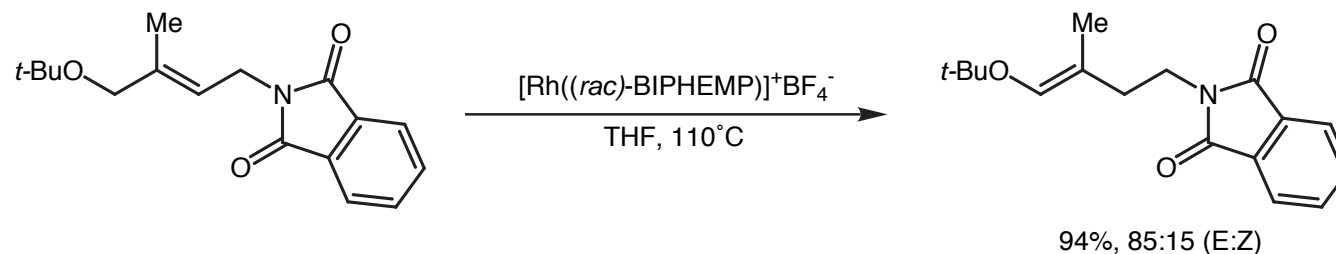


# Selective Isomerization Towards Nitrogen



R	Yield (%)	ee (%)	R	Yield (%)	ee (%)
	73	99		19	96
	60	94		30	70
	50	96		34	90

## Exception



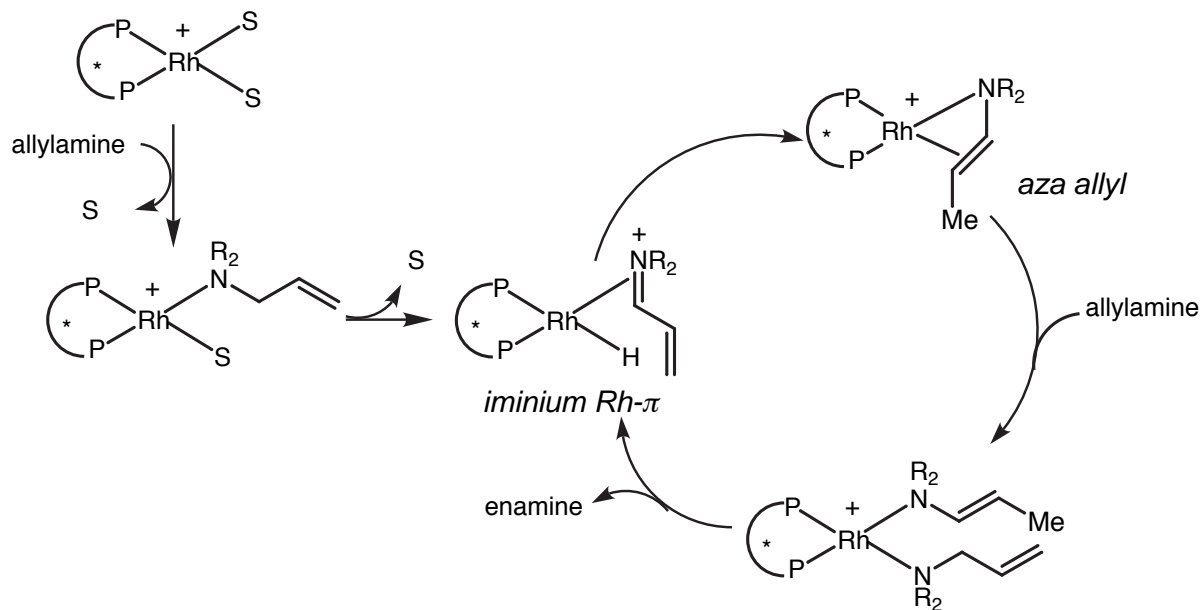
## Unreactive Substrates



Akutagawa, S. *Compreh. Asym. Cat.*, Vol 2., Ch. 23.  
Tani, K. *Pure & Appl. Chem.*, **1985**, 1845.

# Mechanism & Stereoinduction

## Nitrogen-Triggered Mechanism

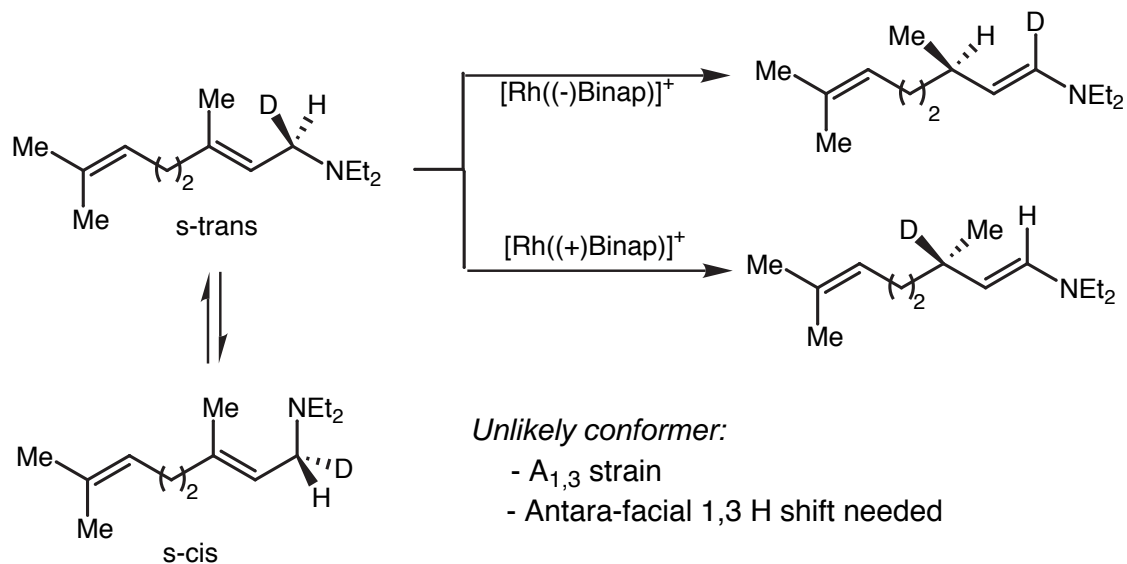


## Evidence:

- Et<sub>3</sub>N exchange with solvent in Rh complex observed by <sup>31</sup>P NMR
- Isolation of Rh-enamine complex
 

active catalyst
- $\pi$ -allyl mechanism
  - No NMR evidence of Rh-olefin complex
  - Doesn't explain the need for nitrogen basicity
  - Rate not affected by presence of other olefins

## Stereoinduction

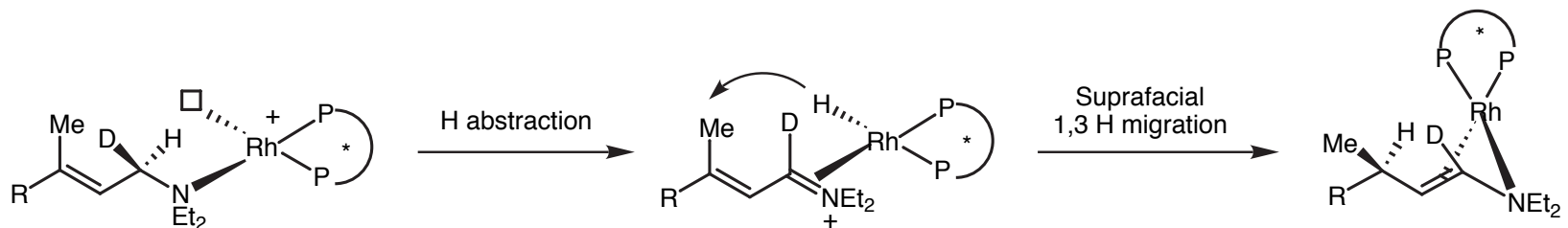


- Supra-facial 1,3 H shift
- No kinetic isotope effect observed

# Mechanism & Stereoinduction II

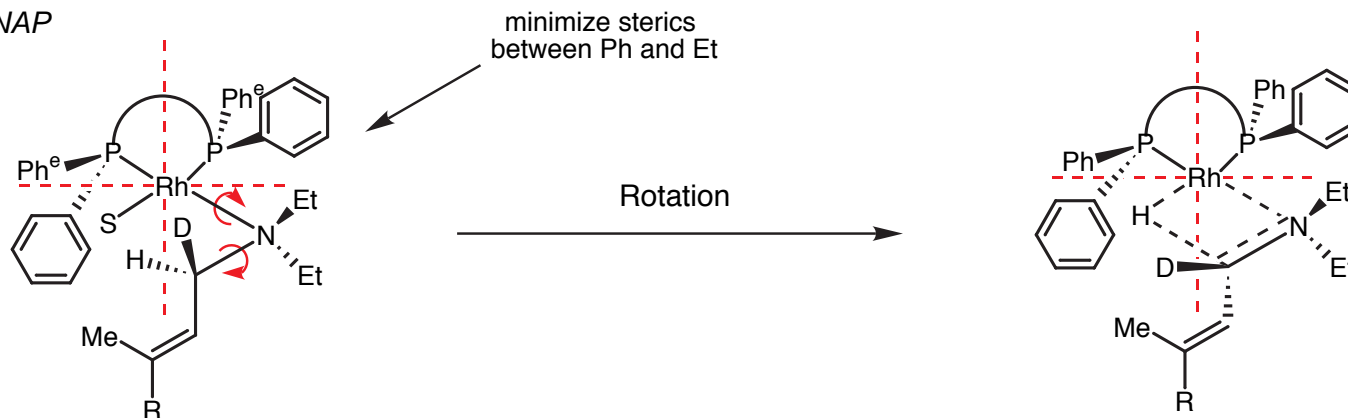
Model based on the following assumptions:

- Chiral Rh complexes recognize enantiotopic C<sub>1</sub> protons
- S-trans conformer is more stable relative to s-cis conformer



Chirality of BINAP determines conformation of allylamine

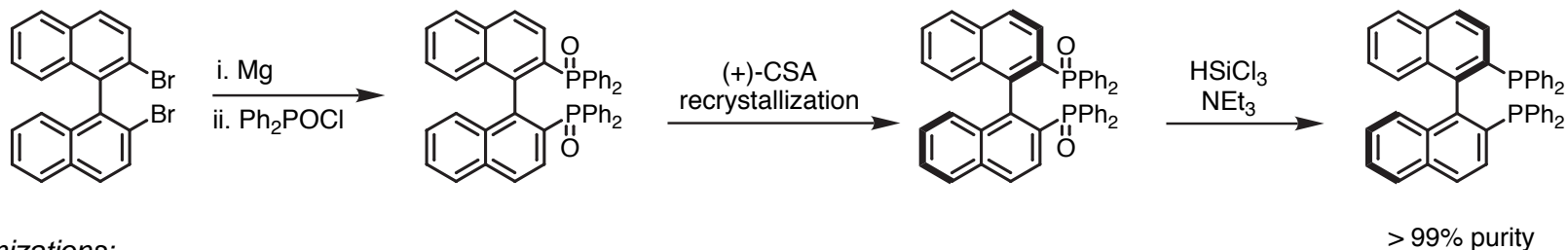
With Rh-(-)-BINAP



Ph<sup>e</sup> = edge on Ph group

# From Laboratory to Industry

## Synthesis of Enantiomerically Pure BINAP (Takasago Perfumery Industry)

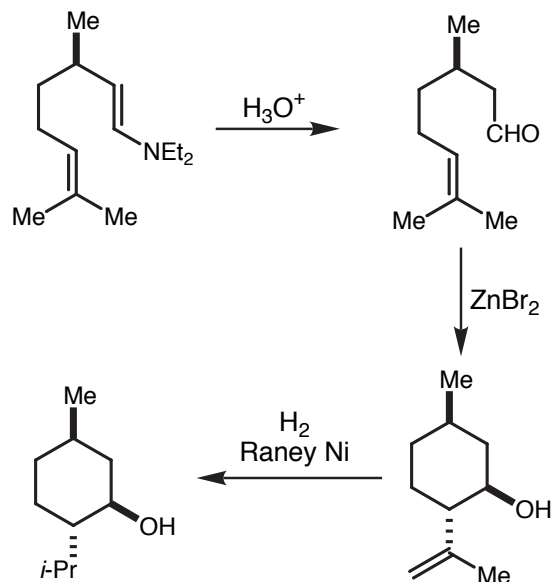


### Optimizations:

- Geometrically pure E/Z isomers of starting allyl amines
- Stringent removal of moisture, air, and donor substances (ie. amines, enamines and olefin isomers)
- Development of a more thermally stable and soluble catalyst: chiral  $[\text{Rh}(\rho\text{-ToIBINAP})_2]^+$

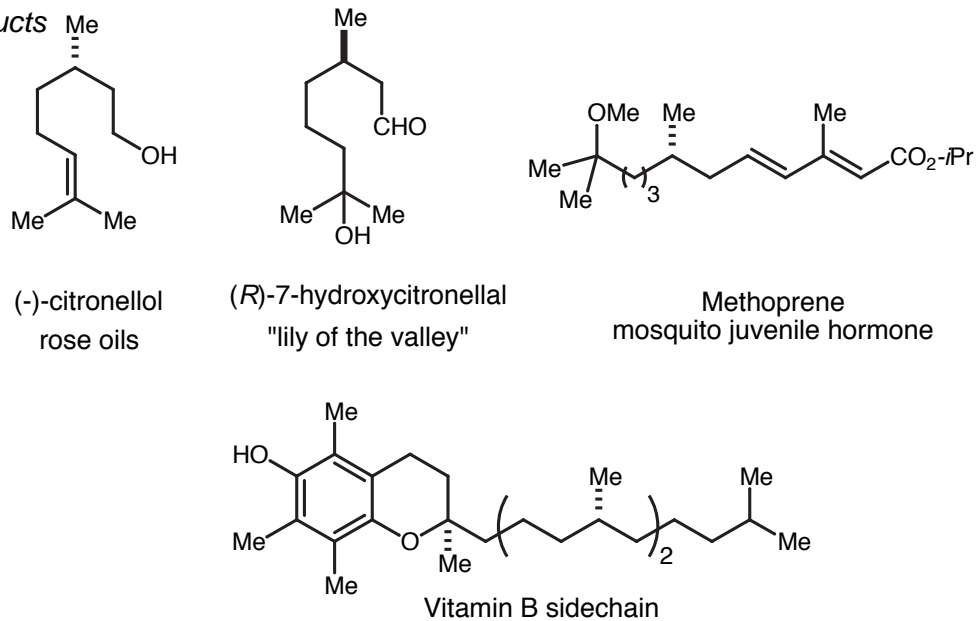
TN: > 8000

## Commercial Synthesis of (-) Menthol



> 4500 tons/yr.  
14 lab to industry 3/14/02 5:29 PM

## Other Natural Products

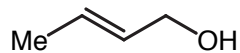


Otsuka, S., Tani, K. *Synthesis*, **1991**, 665.

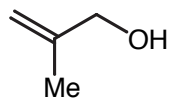


# Allylic Alcohol Isomerization

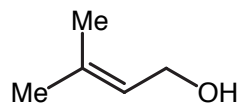
## Simple Substrates



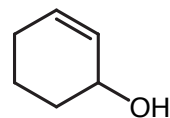
Conversions: 87%



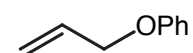
99%



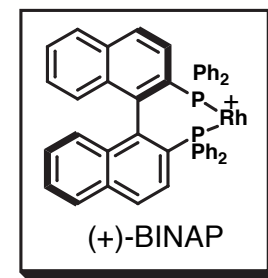
88%



82%

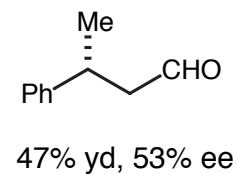
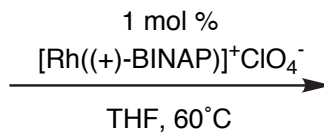
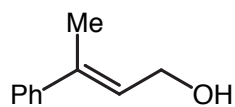
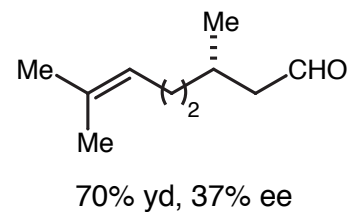
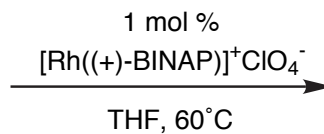
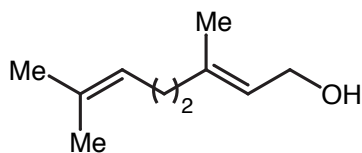


97%  
87:13 (E:Z)

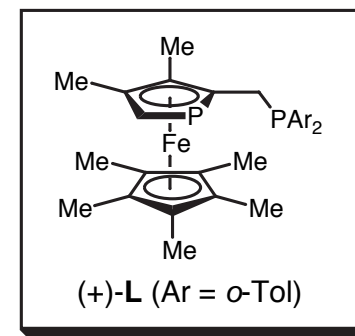
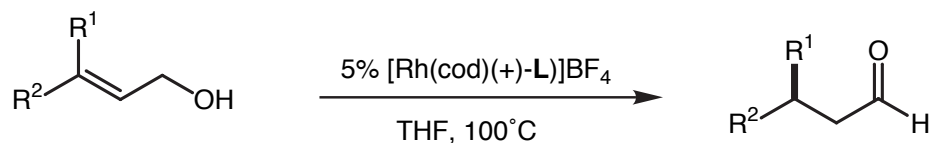


Yields are lower due to Rh(I) promoted decarbonylation of aldehydes.

## Asymmetric Catalysis

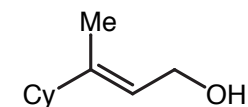


# Enantioselective Allylic Alcohol Isomerization



<i>E</i> Allylic Alcohols	Yield (%)	ee (%)	<i>Z</i> Allylic Alcohols	Yield (%)	ee (%)
	91	75		80	59
	96	76		78	57
	98	92		82	82
	90	91		90	90
	86	92		83	77
				83	85

*Non-Aromatic Substrate*



*Z* isomer: 87% yd, 88% ee

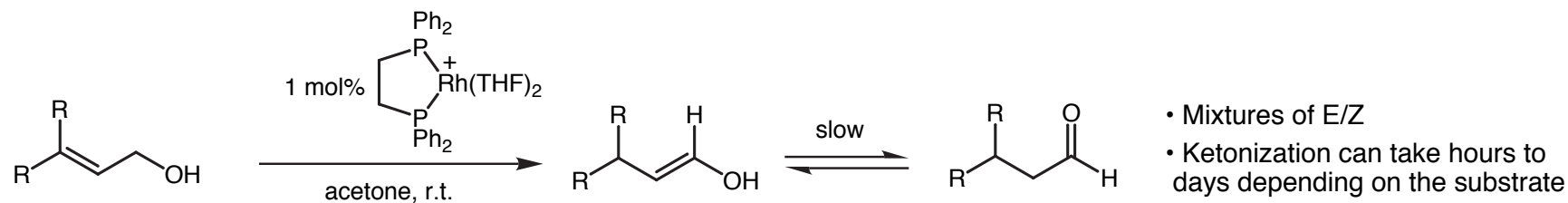
*E* isomer: 94% yd, 74% ee

- A similar mechanism (based on deuterium studies) to the Rh catalyzed isomerization of allylic amines was proposed involving Rh coordination to oxygen.
- No isomerization observed with allyl methyl ethers or homoallylic alcohols.
- Yield and enantioselectivity remain excellent at lower catalyst loading (1 mol%) and catalyst can be recycled.

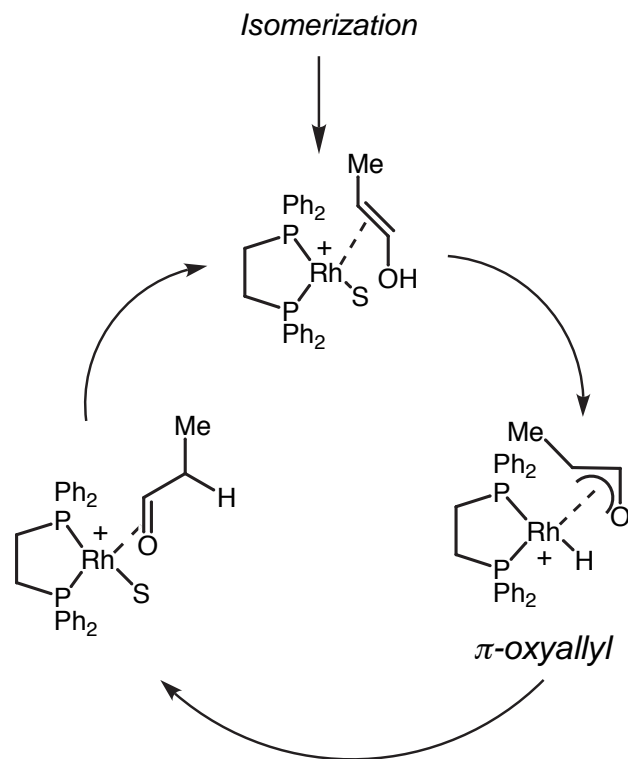
Fu, G.C. *et al*, JACS, **2000**, 9870.

Fu, G.C. *et al*, JOC, **2001**, 8177.

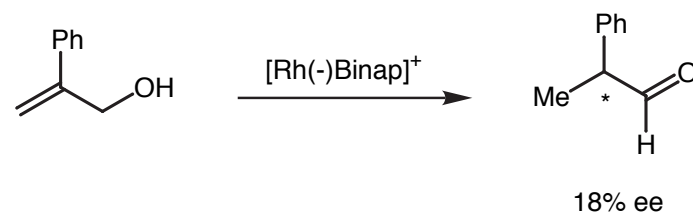
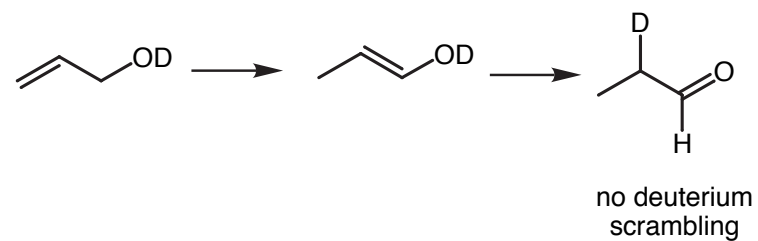
## Generation of Simple Enols: Rh catalysts (Bosnich)



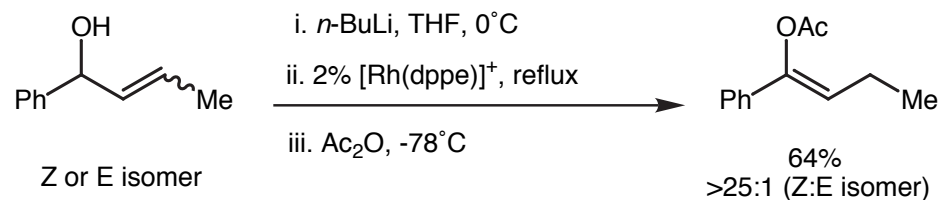
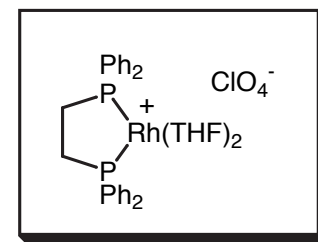
### Rh Mediated Ketonization



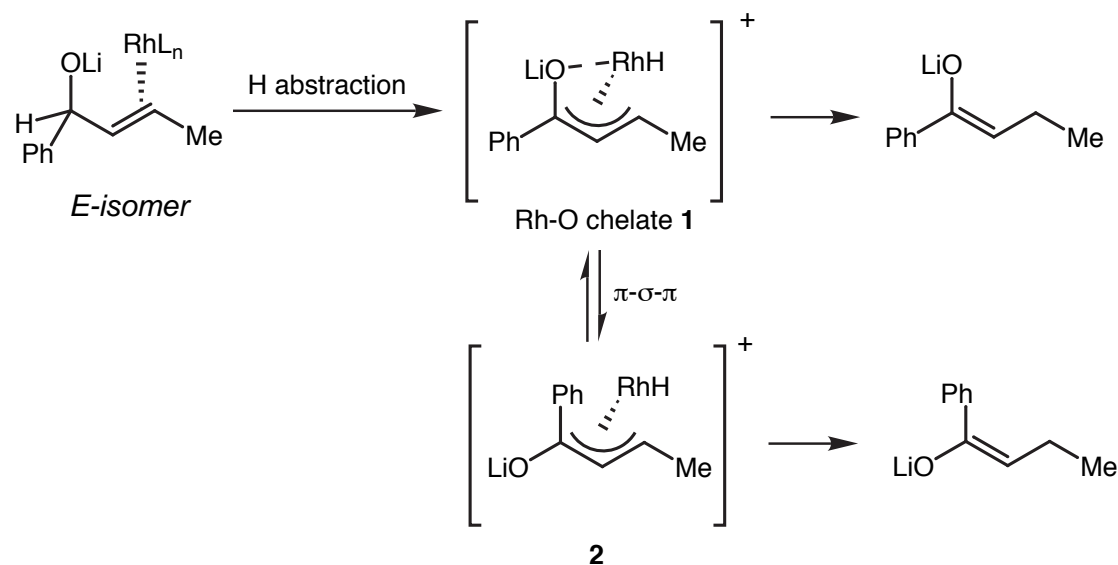
### Evidence



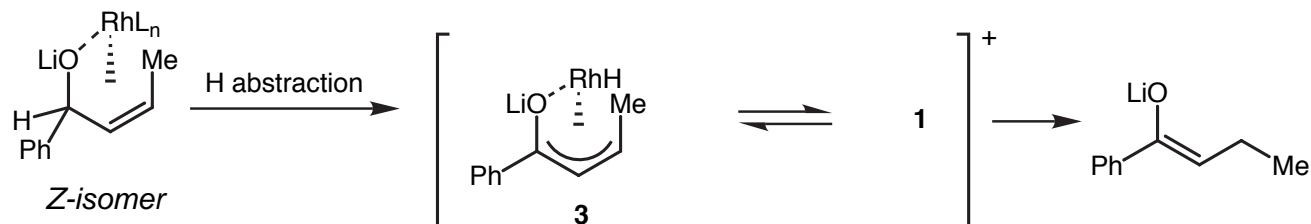
# An Approach to Enolate Anions (Motherwell)



## Stereocontrol



- Rh-oxygen chelate? Maybe/ maybe not...
- Z/E ratio was independent of additives such as TMEDA or 12-crown-4
- Data seems to indicate that enolate geometry is governed by thermodynamics (ie. equilibration of 1 & 2)
- (Ph<sub>3</sub>P)<sub>3</sub>RhCl also effective as a catalyst



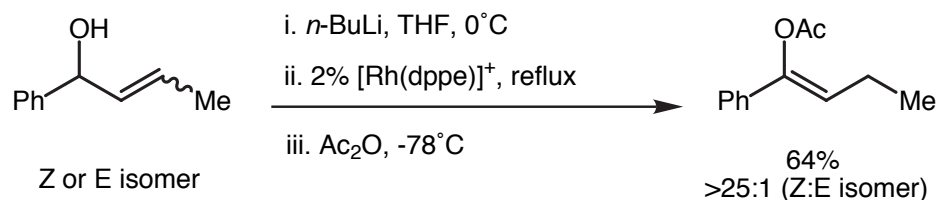
- Slightly longer isomerization times required for Z allylic alcohol - sterics or equilibration?

Motherwell, W.B. *et al.* *J. Chem. Soc. CC*, **1991**, 1399.

Motherwell, W.B. *et al.* *J.Chem.Soc., P.T. I*, **1999**, 979.

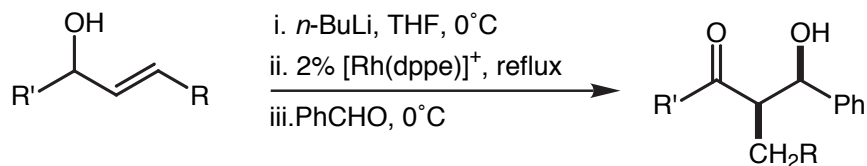
# Enolization & Aldol (Motherwell)

## Enolate Geometry

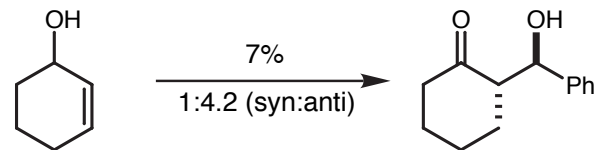
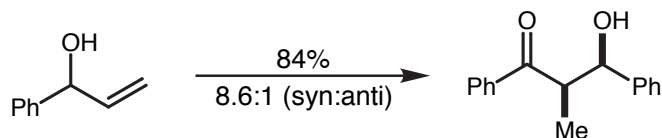
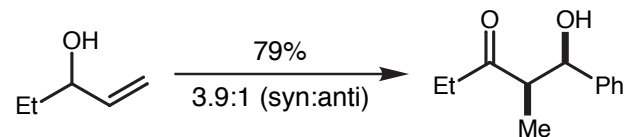
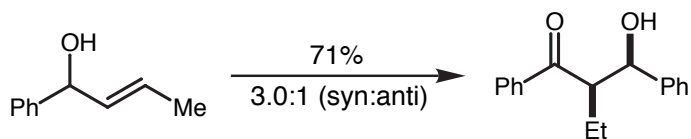


Substrate	Product	Yield (%) & E/Z selectivity
		70 1:3 (Z:E)
		78 1:10 (Z:E)
		41 1:2.8 (Z:E)
	---	no rxn

## Isomerization-Aldol Processes

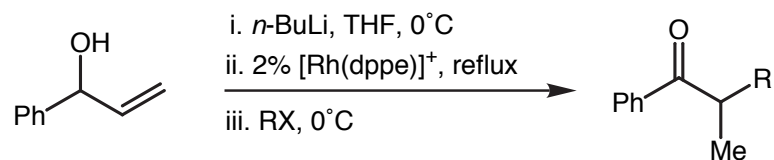


- Benchmark: 98% pure Z Li enolate gives 7.3:1 (syn:anti) product
- Erosion in diastereoselectivity
  - retro aldol vs unselective enolization from competitive ketonization of Rh-enolate complex
- Cyclohexenol precludes cisoid Rh-oxygen intermediate - implications in transition state?



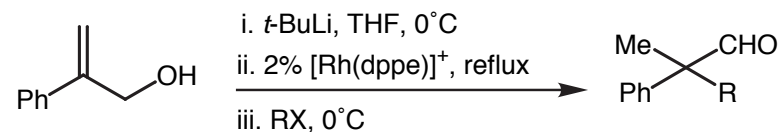
# Enolate Alkylations (Motherwell)

## Alkylations



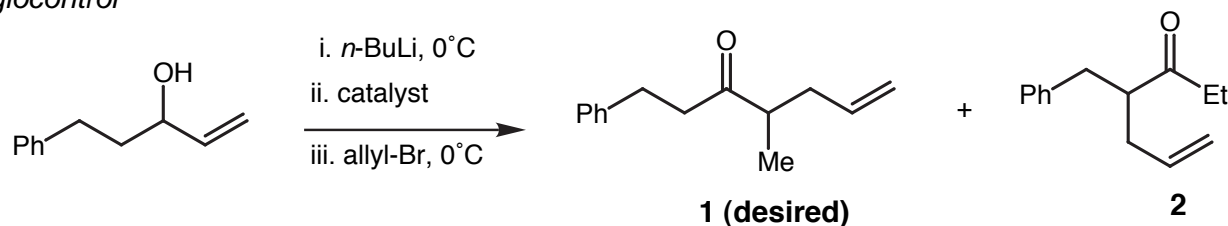
Electrophile RX	yield (%)
allyl bromide	82
benzyl bromide	75
methyl iodide	62
<i>n</i> -Bu iodide	60

## Aldehyde enolates

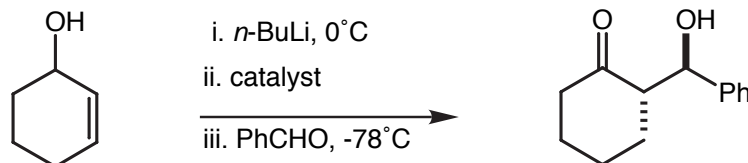


Electrophile RX	yield (%)
allyl bromide	72
benzyl bromide	74

## Regiocontrol

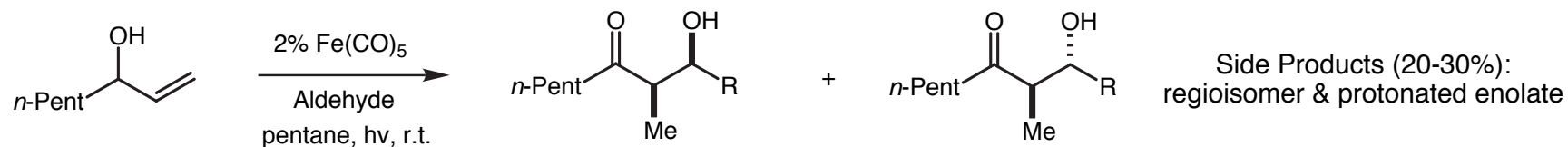


catalyst	yield (%)	1:2
[Rh(dppe)] <sup>+</sup>	79	1:1.2
(Cy <sub>3</sub> P) <sub>2</sub> NiCl <sub>2</sub> <sup>*</sup>	78	15:1



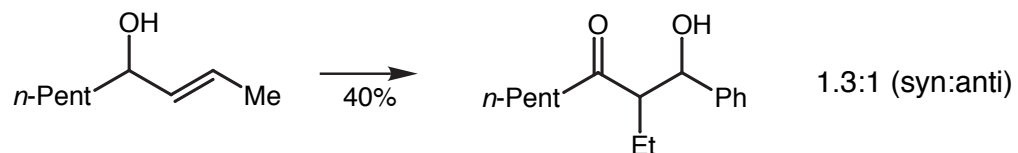
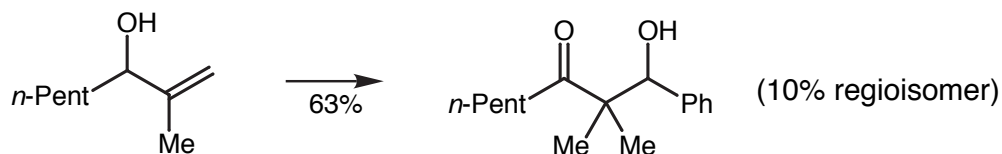
catalyst	yield (%)	syn:anti
[Rh(dppe)] <sup>+</sup>	7	1:4.2
(Cy <sub>3</sub> P) <sub>2</sub> NiCl <sub>2</sub> <sup>*</sup>	64	1:4.2

## Isomerization/Aldol: $\text{Fe}(\text{CO})_5$ (Gree)

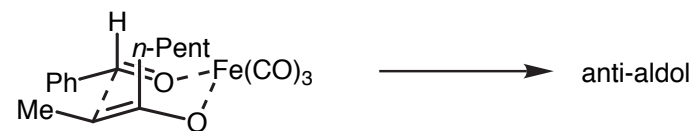
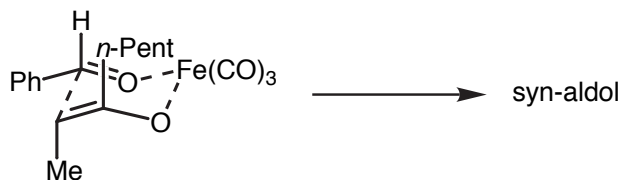


Aldehyde	Yield (%)	Syn:Anti
PhCHO	66	3:1
HCOH (anh.)	62	--
MeCHO	65	3:1
PhCH <sub>2</sub> CHO	65	2.6:1
( <i>i</i> -Pr) <sub>2</sub> CCHO	48	2.2:1

Other substrates

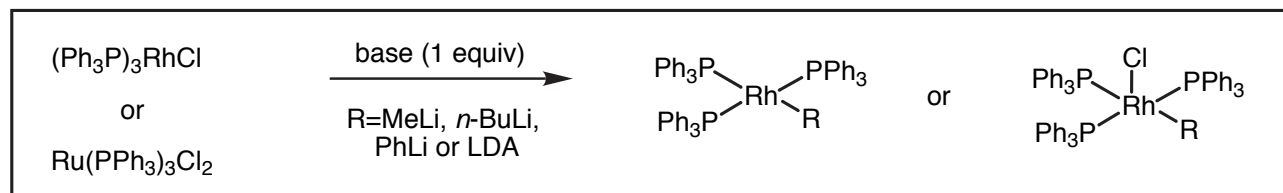


Cyclic Chair Transition State?

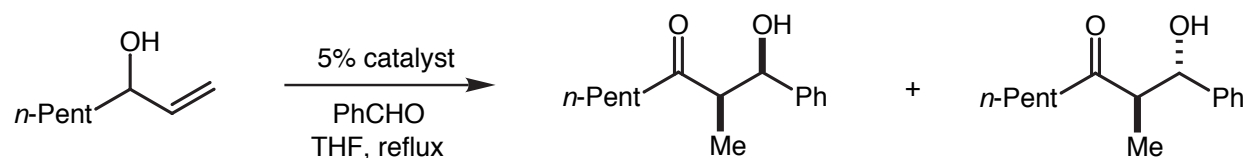


## Improvements on Regioselectivity (Gree)

In situ generation of catalyst:



Tandem Enolization/Aldol



$(\text{Ph}_3\text{P})_3\text{RhCl}$

base	yield (%)	syn:anti
MeLi	56	39:61
<i>n</i> -BuLi	54	40:60
PhLi	63	37:63

$\text{Ru}(\text{PPh}_3)_3\text{Cl}_2$

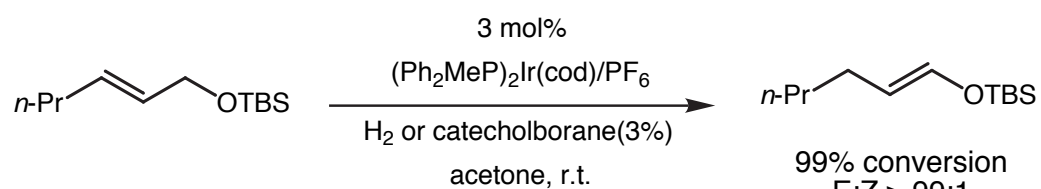
base	yield (%)	syn:anti
MeLi	73	51:49
<i>n</i> -BuLi	62	55:45
PhLi	75	55:45

Advantages:

- No regioisomers formed
- Possible development of asymmetric catalysis
- Neutral rather than basic conditions



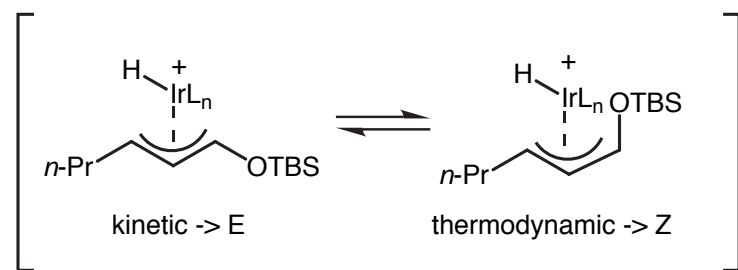
# Stereoselective Silyl Enol Ether Formation (Miyaura)



NMR Study: ( $\text{CH}_2\text{Cl}_2$ :acetone (50:1),  $0^\circ\text{C}$ )

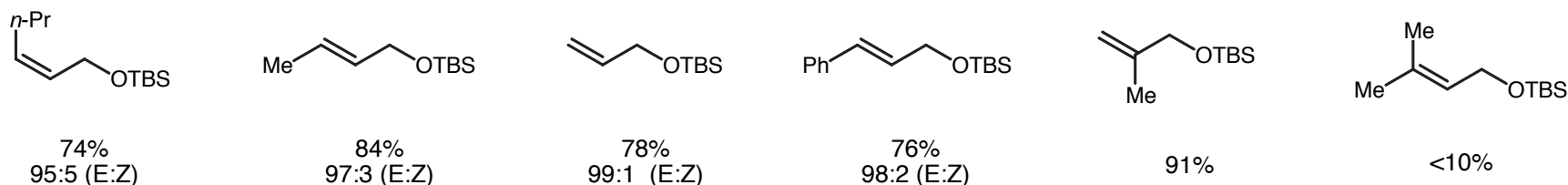
time (min)	conversion (%)	E:Z
5	61	98:2
60	>99	85:15
360	>99	38:63

Authors proposed that E selectivity is kinetically driven:

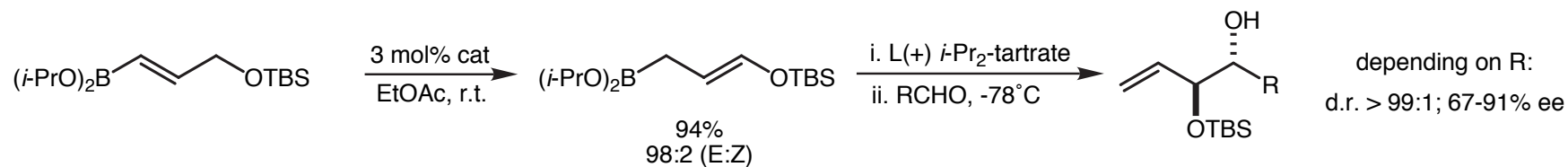


- Acetone - best solvent (fast isomerization, no product equilibration)
- Treating pure E or Z enol silanes with Ir catalyst in  $\text{CH}_2\text{Cl}_2$ /acetone leads to a thermodynamic ratio.
- Unfortunately, cannot equilibrate all the way to give only Z enol silanes.

## Substrate Scope

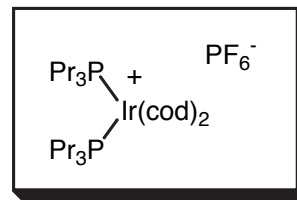


## Chiral Allyl Boronates

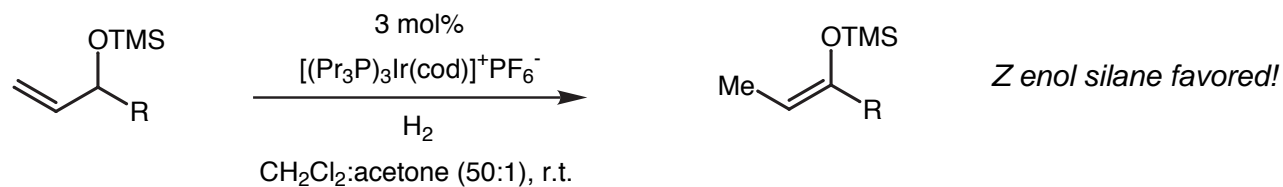


Miyaura, N. JOC, **1999**, 296.  
Miyaura, N. *OrgMet.*, **1999**, 413.

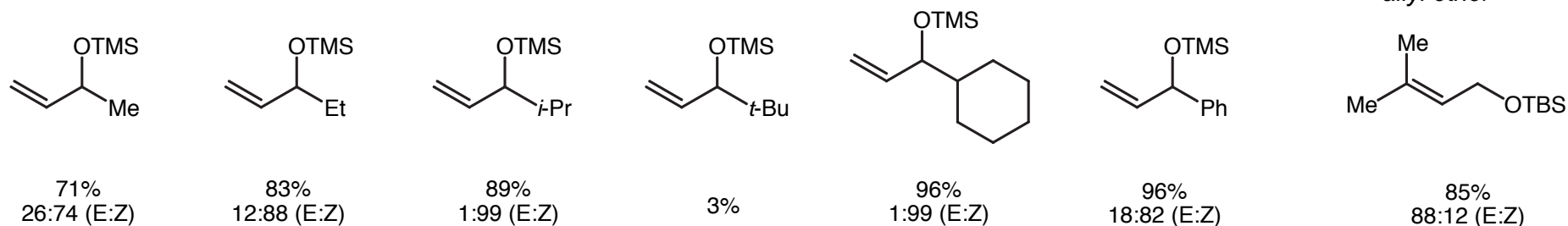
# Secondary Enol Silanes (Miyaura)



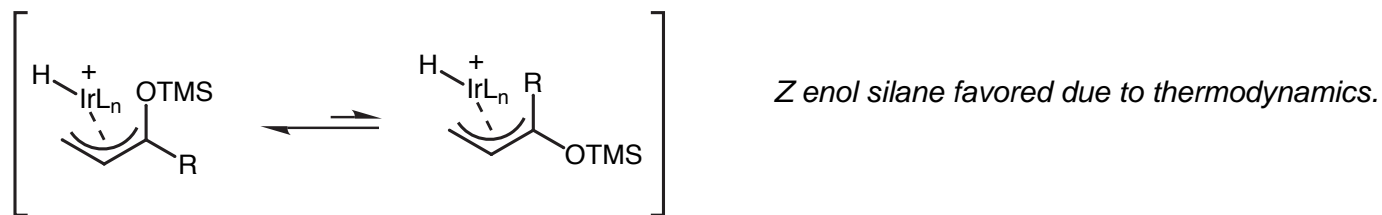
A More Active Catalyst



Substrate Scope

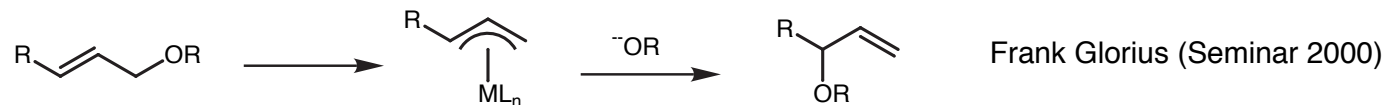


Stereocontrol

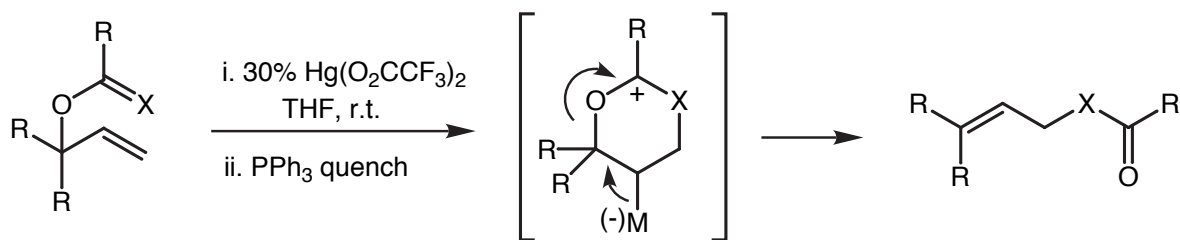


# Allylic Rearrangements (Hg salts-Overman)

Rearrangements involving  $\pi$ -allyl complexes (not covered)



Mercuric Salts (Overman)



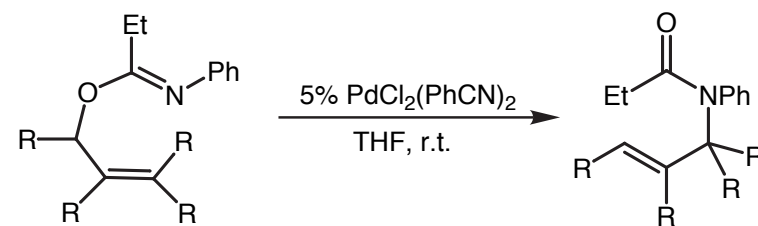
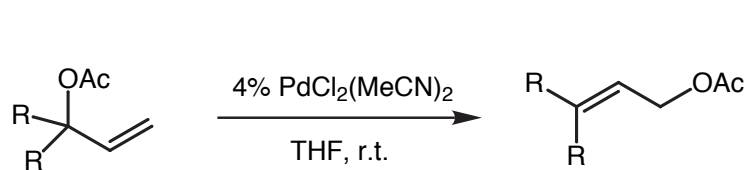
"Contra-thermodynamic" Cases

Substrate	Yield (%)	Substrate	Yield (%)	Terminal: starting material
	95		80	95:5
	92		86	71:29
	70		61	15:85
	98	Excess Hg salts and PPh <sub>3</sub> are needed to overcome thermodynamic preference.		
	96		52	100:0
			79	100:0

Overman, L.E. *et al.* JACS, **1978**, 4822.

Review: Overman, L.E. *et al.* ACIEE, **1984**, 579.

## More Active Catalysts: Pd(II) salts

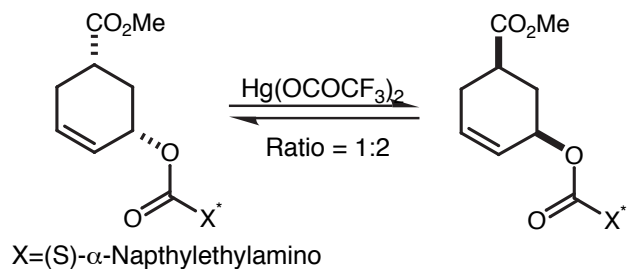


Substrate	Yield (%)
	88 78:22 (E:Z)
	96% 89:11 (E:Z) rxn at 70°C
	93
	96
	74
	18
	no rxn
	no rxn

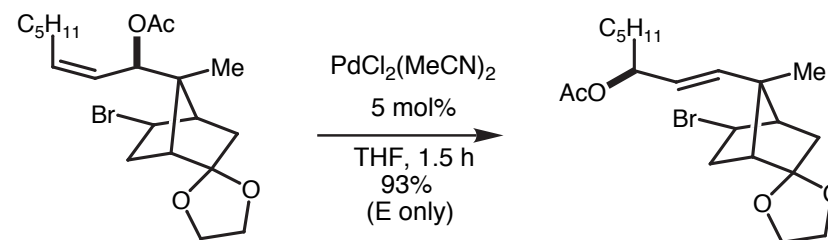
Substrate	Yield (%)
	79
	74
	90
	75
	68

# Allylic Rearrangement: Chirality Transfer

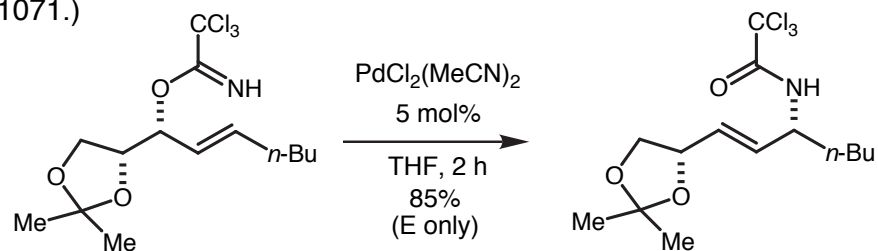
Trost (CC, 1978, 436.)



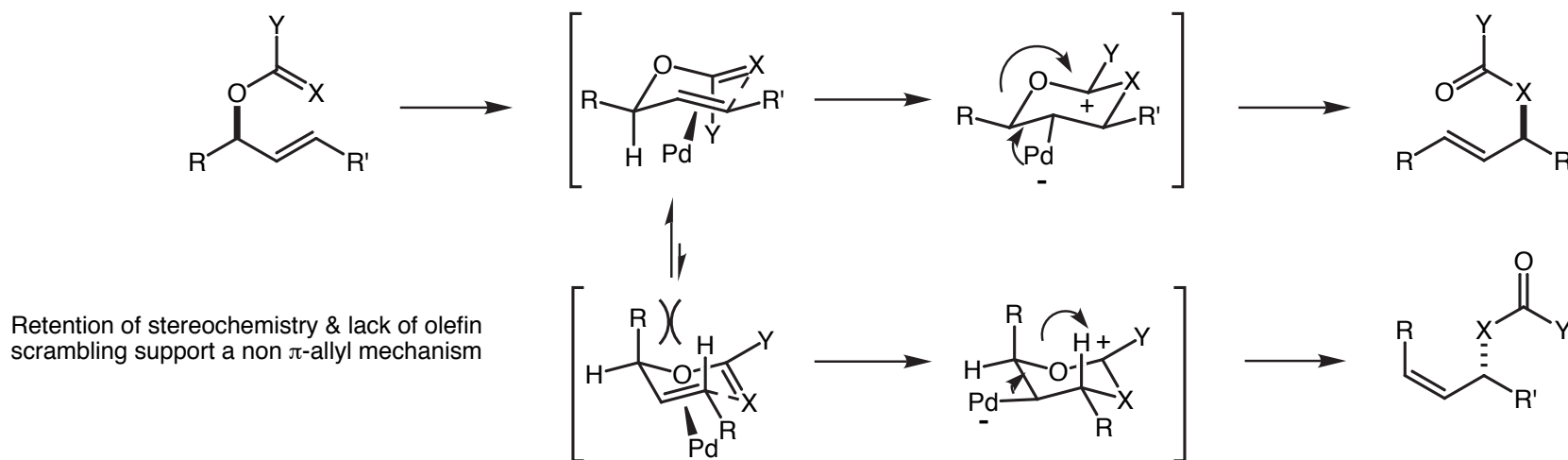
Grieco (JACS, 1980, 7587.)



Meetz (Tet., 1992, 1071.)

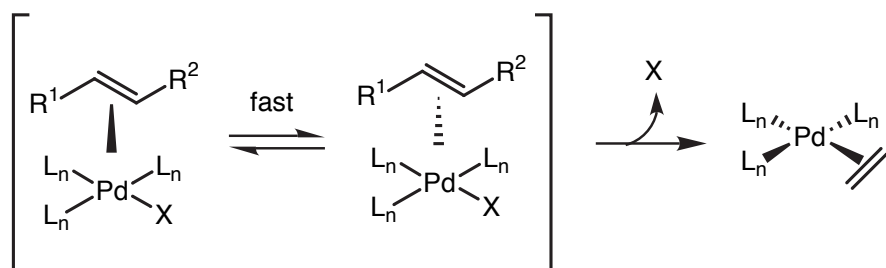
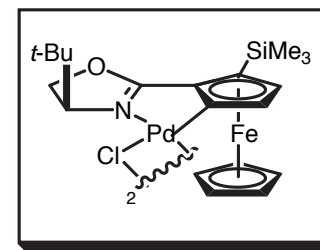
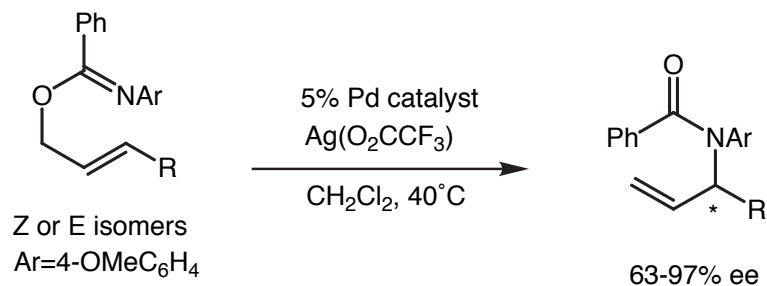


Cyclization-Induced Rearrangement (Overman)

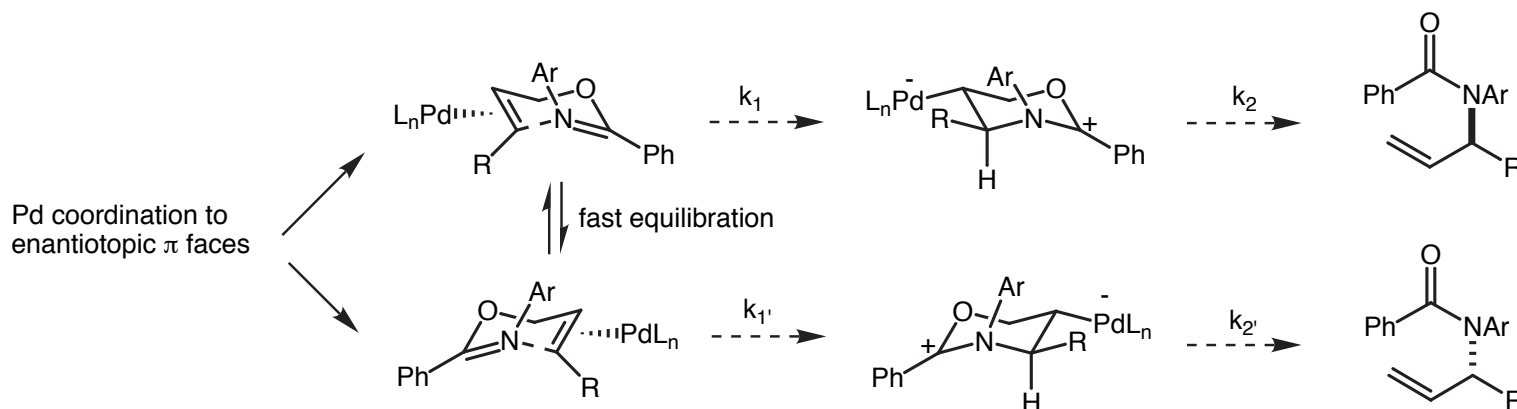


Overman, L.E. *ACIEE*, 1984, 579.

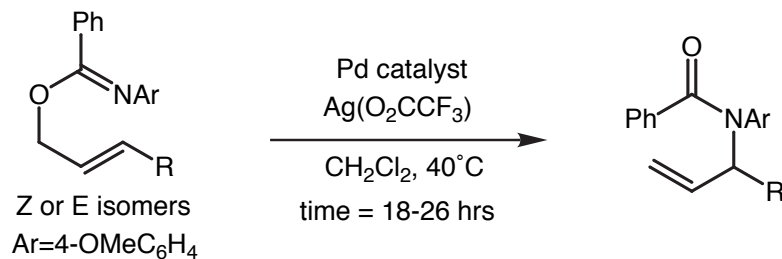
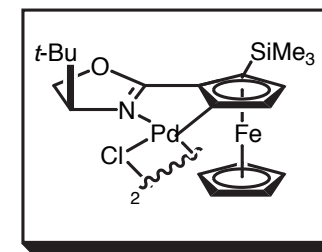
# Enantioselective Rearrangement: Allylic Imidates



- Initial steps are likely: association, pseudo-rotation, dissociation
- Coordination of Pd to  $\pi$ -face of the olefin determines enantioselectivity; it is, however, not the rate determining step
- Possible rate determining steps that lead to observed enantioselectivity: cyclization or elimination



# Substrate Scope and Other Ligands



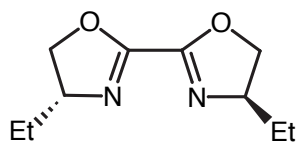
## Z Isomers

R	Yield (%)	ee (%)
Me	96	75 (R)
<i>n</i> -Pr	83	91 (R)
<i>i</i> -Bu	90	97 (R)
<i>i</i> -Pr	59	86 (R)
Bn	85	88 (R)
Ph	11	77 (R)

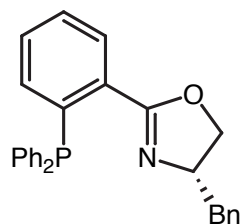
## E Isomers

R	Yield (%)	ee (%)
<i>n</i> -Pr	93	83 (S)
<i>i</i> -Bu	97	84 (S)
Ph	59	63 (S)

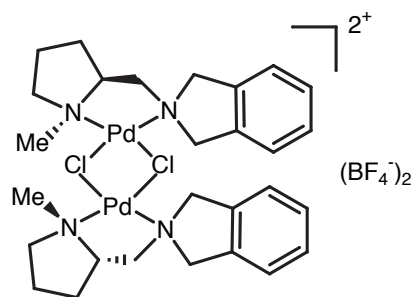
## Earlier Catalyst Systems



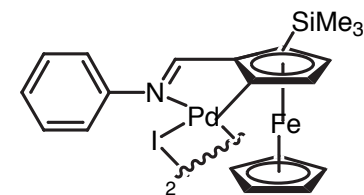
62% yield  
10% ee  
(Hayashi)



41% yield  
76% ee  
(Hayashi)



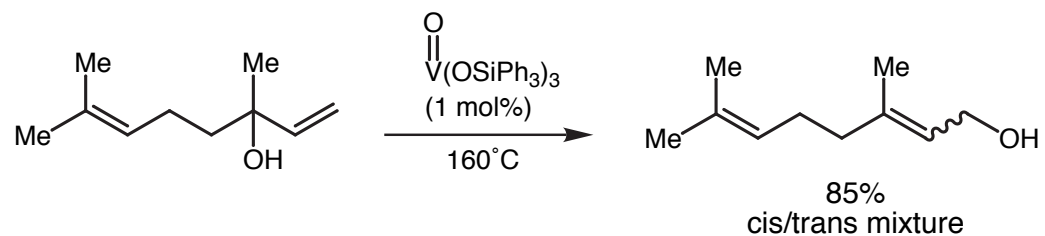
69% yield  
55% ee  
(Overman)



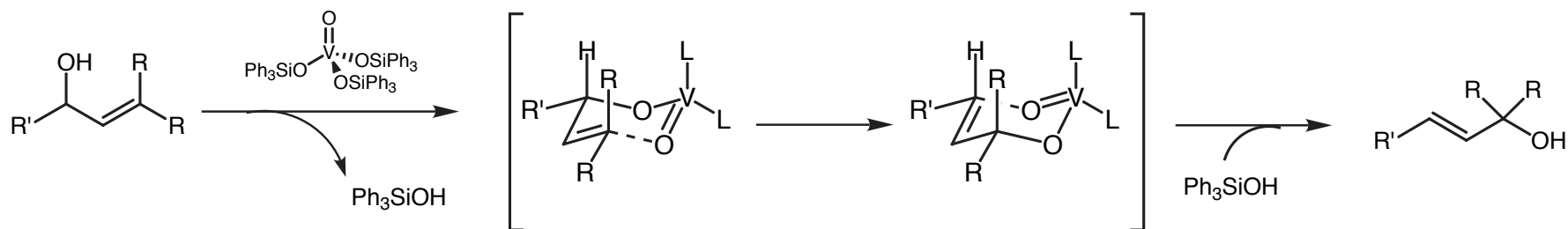
91% yield  
49% ee  
(Overman)

# Allylic Rearrangements with Oxo Metals

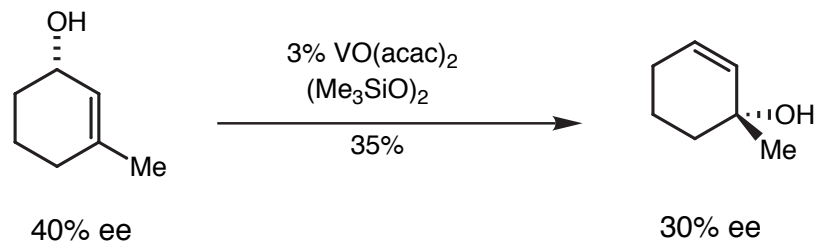
## Earliest Example



## Charbardes' Proposal



## Chirality Transfer

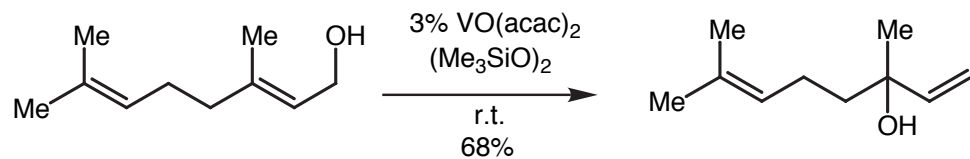


Chabardes, P. *Tet.*, **1977**, 1775.

Takai, K. *Bull. Chem. Soc. Jpn.*, **1985**, 844.

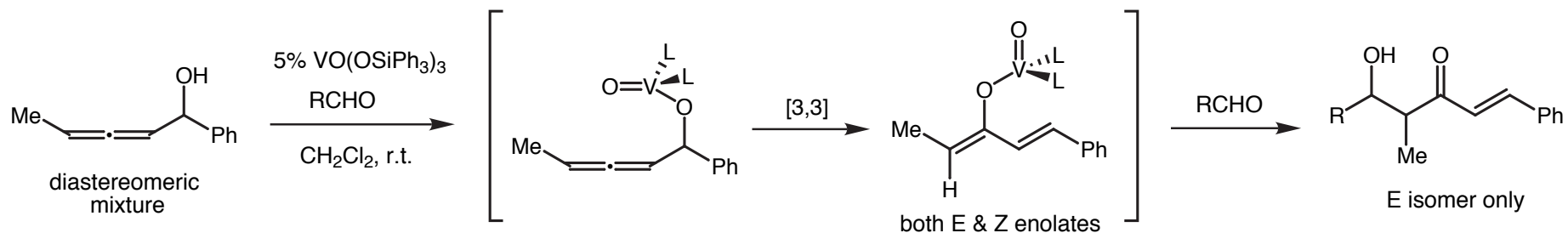


## Vanadate Catalysts (Takai)



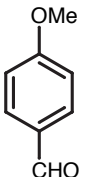
Substrate	Product	Starting Material (%)	Product (%)
		0	97 E only
		0	85
		20	53
		41	35
		0	70 E only

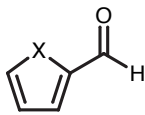
## Allenic Alcohol Isomerizations (Trost)

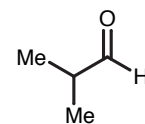


Aldehyde Yield(%) Syn:Anti

PhCHO 86 80:20

 83 42:58

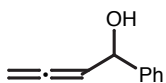
 X=O 88 67:33  
X=S 86 68:32  
X=NBoc 88 70:30

 79 78:22

 71 80:20

 61 66:33

Other Allenes



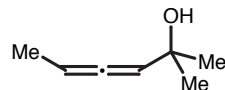
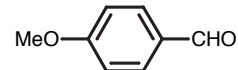
II



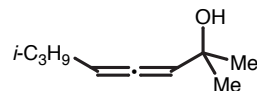
II



II



PhCHO

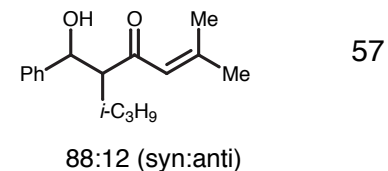
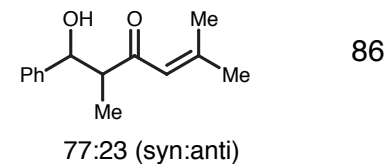
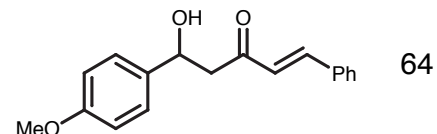
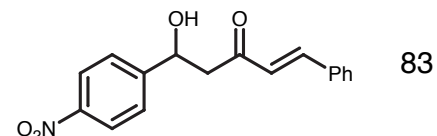
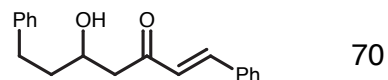
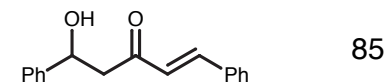


PhCHO

Aldehyde

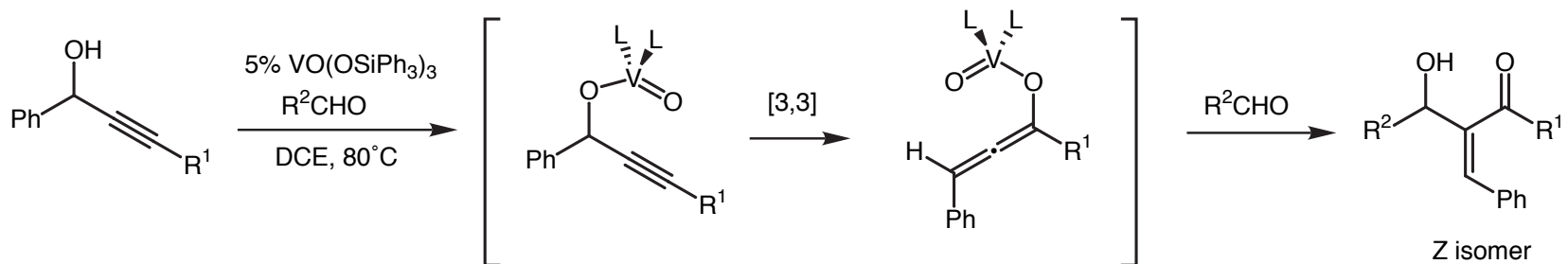
Product

Yield(%)



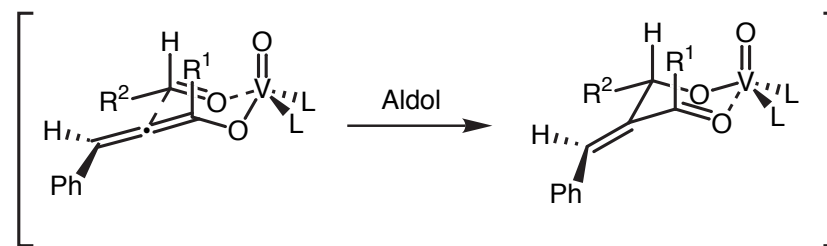
• Diastereomerically pure allenol gave similar syn:anti ratios

## Propargyl Alcohol Isomerizations (Trost)



Propargyl Alcohol ( $R^1$ )	Aldehyde ( $R^2$ )	Yield (%)	Z:E
<i>n</i> -Bu	PhCHO	94	91:9
<i>t</i> -Bu	PhCHO	95	98:2
Ph	PhCHO	73	88:12
$\text{CH}_2\text{OTBS}$	PhCHO	42	only Z
-----			
<i>n</i> -Bu		77	only Z
<i>n</i> -Bu		92	only Z
<i>n</i> -Bu	<i>n</i> -PrCHO	55	89:11
<i>n</i> -Bu	chex-CHO	58	96:4

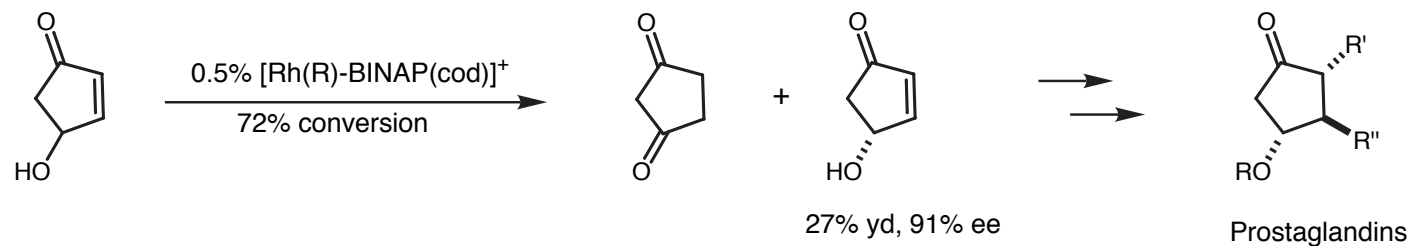
### Chair Transition State Model



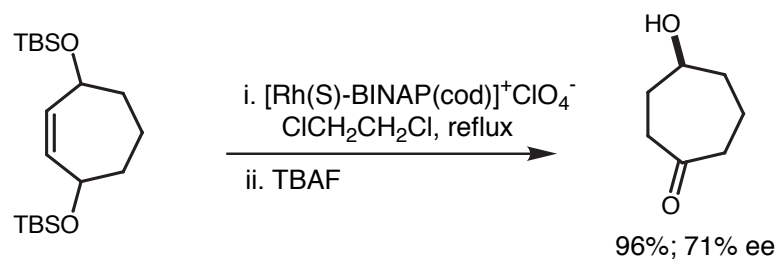
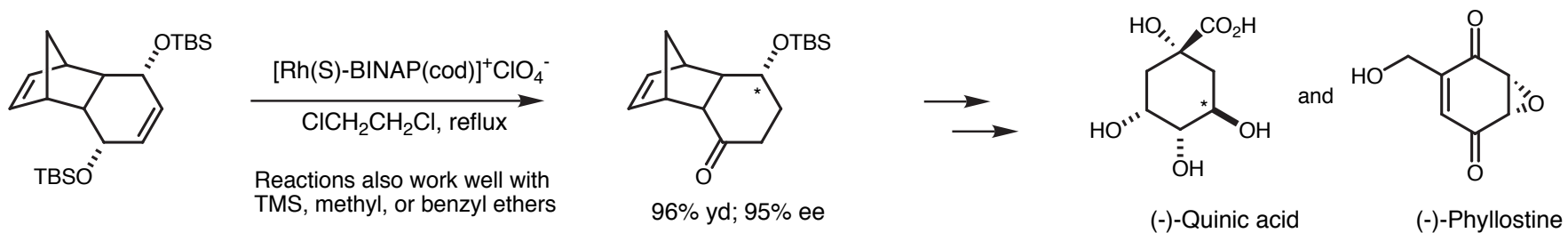
Aldehyde approach from opposite face of Ph explains high selectivity for Z isomer.

# Desymmetrizations

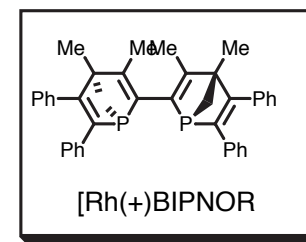
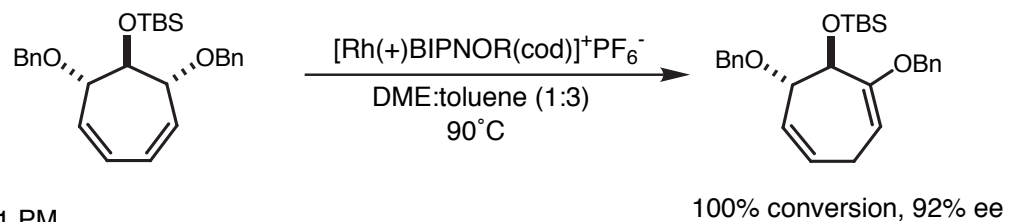
Noyori, R. *TL*, **1987**, 4719.



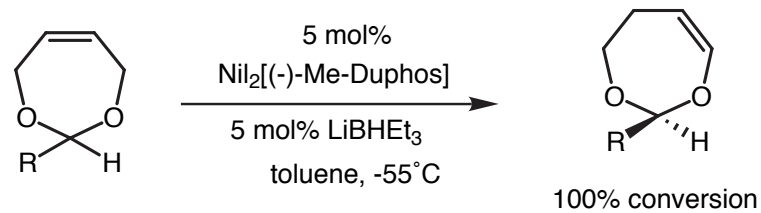
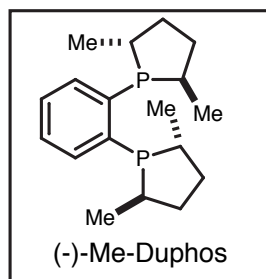
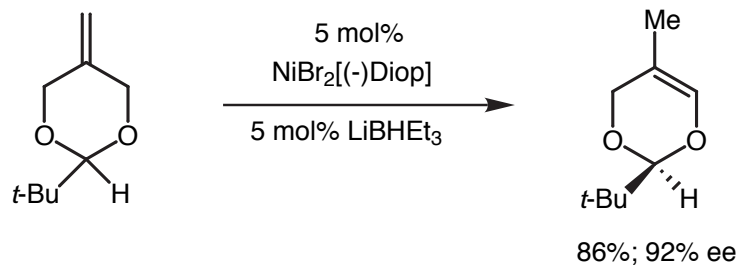
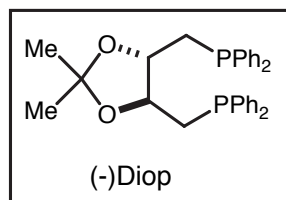
Ogasawara, K., *ACIEE*, **1995**, 2287.



Mathey, F. *Tet*, **2000**, 101.

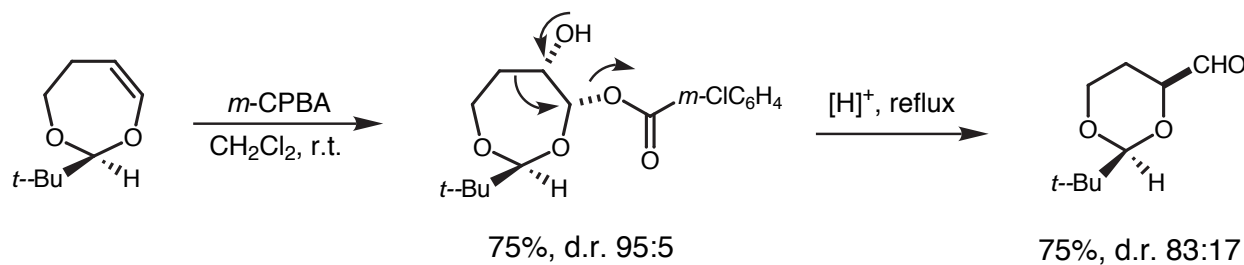


## Desymmetrization of Cyclic Allylic Acetals (Frauenrath)



R	ee (%)
<i>t</i> -Bu	98
<i>i</i> -Pr	90
<i>n</i> -Bu	90

### Synthetic Use:

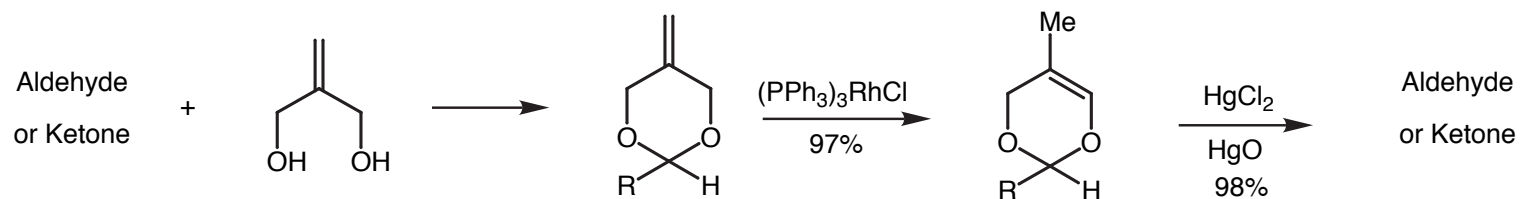


Frauenrath, H. *et al.* *Tet. Asym.*, **1998**, 1103

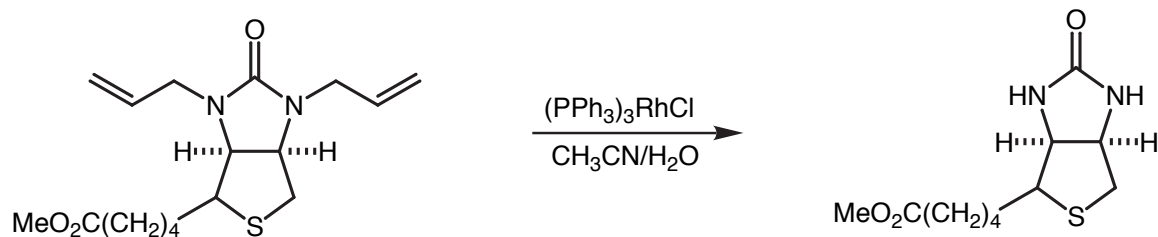
Frauenrath, H. *et al.* *ACIEE*, **2001**, 177

# Allyl Protecting Groups

Protection of Aldehydes/Ketones (TL, 1975, 3775)



Biotin Synthesis (J.C.S. P.T.II, 1973, 1954)



Carbohydrates (J.C.S. P.T.I., 1980, 738.)

